

Jet Clustering Algorithms.

At hadron colliders, the longitudinal boost of an event (ie, along the beam axis) is unknown a priori.

Rapidity is defined

$$y = \frac{1}{2} \log \left(\frac{E + p_z}{E - p_z} \right)$$

and is related to other kinematic variables:

$$E = m_T \cosh y$$

$$p_z = m_T \sinh y$$

$$m_T^2 = m^2 + p_x^2 + p_y^2 \quad (\text{transverse mass})$$

careful! other definitions exist!

$$\text{Also, } y = \log \left(\frac{E + p_z}{m_T} \right) = \tanh^{-1} \left(\frac{p_z}{E} \right)$$

A boost along the z -axis with velocity β will transform y :

$$y \rightarrow y' = y - \tanh^{-1}(\beta)$$

Thus, differences in y are invariant:

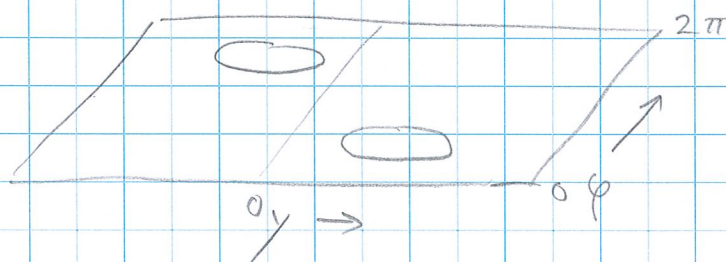
$$\Delta y = y_1 - y_0 \rightarrow \Delta y' = \Delta y.$$

Transverse momenta are invariant under boosts and angles in the transverse plane are invariant under rotations.

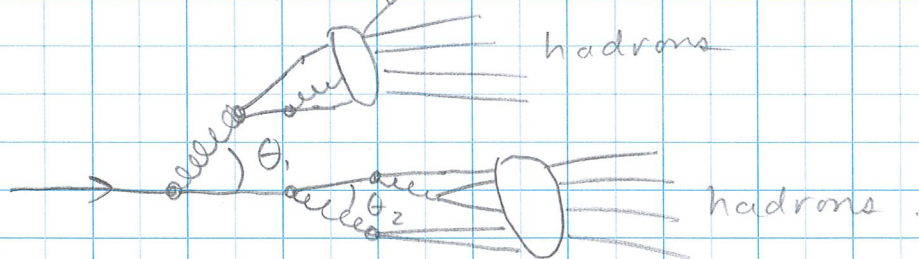
$$\text{Also, } y \approx -\log \tan \theta/2 \equiv \eta$$

$$\sinh \eta = \cot \theta \Rightarrow \theta = 45^\circ \rightarrow \eta = 0.88$$

It is useful to imagine unrolling the φ coordinate and plotting distributions in φ and y :



Energy or track activity can be visualized as clusters in the y - φ plane. These roughly correspond to early stage parton activity:



$\theta_1 > \theta_2$ (angular ordering).

Also, matrix elements calculate multiparton final states ($n \leq 4$ or so)

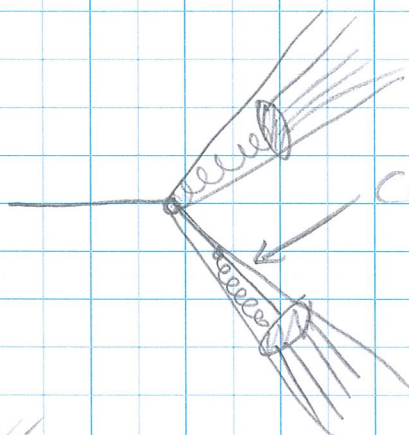
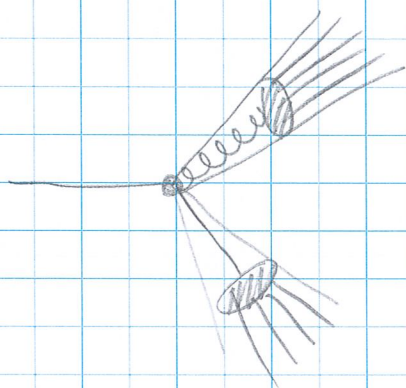
Because momentum is conserved, if we could sum up the 4-vectors of the final state hadrons, then we would know the 4-vector of the initial state parton.

How do we resolve the different jet activity in an event?

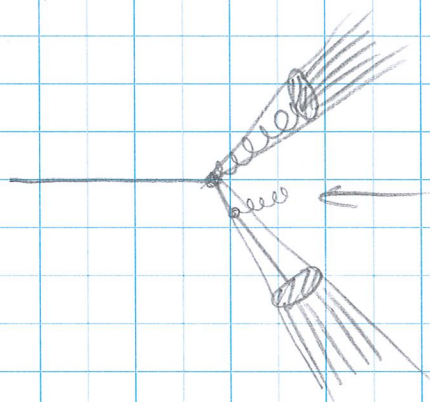
Jet-finding/clustering algorithms.

Requirements:

1. Colinear safety. The same jet should be found if a particle in the jet splits into two colinear particles.
2. Infrared safety. The same jets should be found if soft emission occurs.



colinear gluon emission



stable under low-energy emission between the jets

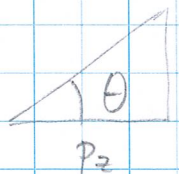
Inputs to jet-finding algorithms:

- ① Calorimeter energy: E_i , ϕ_i , η_i

Energy is measured. Calorimeter segmentation determines ϕ_i , η_i .

Calorimeter energy can be clustered. Adjacent energy deposits can be added together and (ϕ_i, η_i) could be calculated from the weighted mean.

- ② Tracking information: p_x , p_y , $p_z = p_T \sinh \eta$



$$\tan \theta = \frac{p_T}{p_z} \quad p_z = p_T \cot \theta$$

- ③ Monte Carlo generator parton information:
exact 4-vectors of each parton.

Two main types of algorithms:

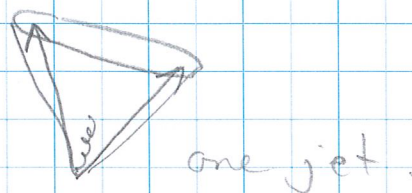
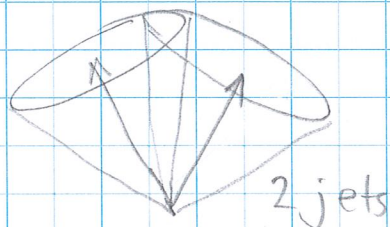
- Cone Algorithms
- Sequential clustering Algorithms.

Iterative Cone Algorithm.

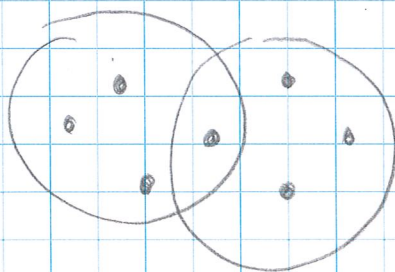
- ① Find a seed (most energetic particle in the event)
- ② Find all particles within $\Delta R = \sqrt{\Delta\phi^2 + \Delta\eta^2}$ of the seed. Sum up their momenta.
- ③ If the trial jet axis and the seed axis are identical (within some precision) then
 - Remove all particles from the event and proceed to cluster the remaining particles
 else
 - Repeat with the trial jet axis as the new seed.
- ④ Iterate until no more seeds above threshold (eg 1 GeV) are left.

Some problems.

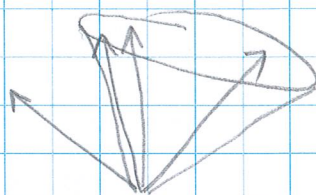
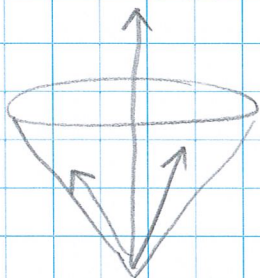
- not IR safe



Overlap:



Not Colinear safe:



Various remedies have been proposed

- Seedless jet clustering algorithms
- Splitting/merging algorithms

k_t Algorithms:

1. For each cluster, define

$$d_i = p_{T_i}^2$$

For each pair of clusters, define

$$d_{ij} = \min(p_{T_i}^2, p_{T_j}^2) \frac{\Delta R_{ij}^2}{D^2}$$

$$\Delta R_{ij}^2 = (\eta_i - \eta_j)^2 + (\phi_i - \phi_j)^2$$

$D \approx 1$ is a parameter.

2. Let $d_{\min} = \min(\text{all } d_i, \text{all } d_{ij})$

3. If $d_{\min}$ is a d_{ij} then cluster i and j into a new cluster with

$$\begin{aligned} E_{ij} &= E_i + E_j \\ p_{T_{ij}} &= p_{T_i} + p_{T_j} \end{aligned}$$

4. If $d_{\min}$ is a d_i then remove from the list of clusters and add to the list of jets.

Variants:

$$\text{Let } d_{ij} = \min(k_{T_i}^{2p}, k_{T_j}^{2p}) \frac{\Delta R_{ij}}{D}$$

$p = 1$ is the k_T clustering algorithm.

$p = -1$ is the anti- k_T algorithm.

d_{ij} is determined by a hard cluster and the algorithm will merge low energy clusters with high energy ones before clustering low energy clusters together.

Other practical considerations:

Combining calorimeter and tracking information (particle flow)

Pileup and underlying event subtraction

Correction for Jet energy response/scale.

The k_T /anti- k_T algorithms are IR and collinear safe and can be applied to partons in a well defined way.

Event Shape Variables.

- Primarily used at e^+e^- and ep colliders.

Example:

$$\text{Thrust}, T = \max_{\hat{n}} \frac{\sum |\vec{p}_i \cdot \hat{n}|}{\sum |\vec{p}_i|}$$

Leading order $e^+e^- \rightarrow q\bar{q}$ has $T=1$.

Hard gluon emission $e^+e^- \rightarrow q\bar{q}g$ has $T < 1$.

$$\text{Sphericity tensor } S_{\alpha\beta} = \frac{\sum_i p_{i\alpha} p_{i\beta}}{\sum_i |\vec{p}_i|^2}$$

$$\alpha = x, y, z.$$

Principal axes $\hat{n}_1, \hat{n}_2, \hat{n}_3$ with eigenvalues $Q_1 < Q_2 < Q_3$, normalized so that $Q_1 + Q_2 + Q_3 = 1$.

$$\text{Sphericity}, S = \frac{3}{2}(1 - Q_3) = \frac{3}{2}(Q_1 + Q_2)$$

$$S = 0 \quad \text{for 2-jet event}$$

$$S = 1 \quad \text{for isotropic}$$