

## General transformation theory

Suppose the transformation from one inertial frame to another is written as

$$x'^{\alpha} = \frac{\partial x'^{\alpha}}{\partial x^{\beta}} x^{\beta}$$

and we can visualize  $\frac{\partial x'^{\alpha}}{\partial x^{\beta}} \equiv \Lambda^{\alpha}_{\beta}$  as a transformation matrix

and ANY vector quantity  $A^{\alpha}$ , whose transformation law is

$$A'^{\alpha} = \Lambda^{\alpha}_{\beta} A^{\beta},$$

is a contravariant vector.

Similarly, a quantity whose transformation law is  $B'_{\alpha} = \frac{\partial x^{\beta}}{\partial x'^{\alpha}} B_{\beta}$  is a covariant vector (or tensor of rank 1)

## Relativistic Doppler Shift

- a derivation by invariance arguments

Observe that in a plane wave like

$$e^{i(\vec{k} \cdot \vec{r} - \omega t)} \equiv e^{i\phi}$$

$\phi$  must be an INVARIANT, independent of reference frame, since it simply counts the number of wave crests

$\Rightarrow$  if we write  $-\phi = \frac{\omega}{c} ct - \vec{k} \cdot \vec{x} = k^\alpha x_\alpha$

where  $k^\alpha = (\frac{\omega}{c}, \vec{k})$ ,

then  $k^\alpha$  must be a 4-vector since its contraction with a known 4-vector  $x^\alpha$  is an invariant (scalar)

Accordingly, this is all we need to know in order to write down the transformation law for  $k^\alpha$ . First (to  $\vec{v} = c\vec{\beta}$ ) break its spatial part  $\vec{k}$  into parallel + perpendicular components,  $\vec{k} = \vec{k}_\parallel + \vec{k}_\perp$

i.e.  $\vec{\beta} \cdot \vec{k}_\perp = 0$ , then

$$(1) \vec{k}'_\perp = \vec{k}_\perp$$

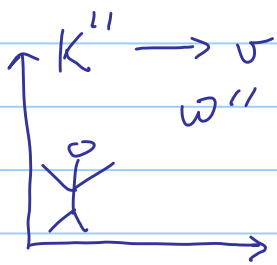
$$(2) k'_0 = \frac{\omega'}{c} = \gamma(k_0 - \beta k_\parallel)$$

$$(3) k'_\parallel = \gamma(k_\parallel - \beta k_0)$$

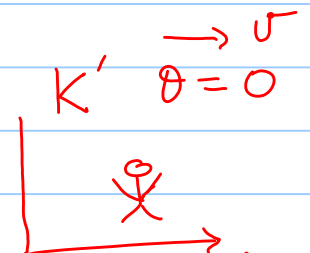
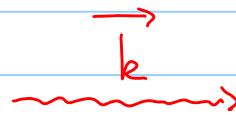
Now, recall that for EM radiation in free space,  $|\vec{k}| = \frac{\omega}{c} \Rightarrow v k_\parallel = v |\vec{k}| \cos \theta = \frac{v}{c} \omega \cos \theta$

where  $\theta =$  angle between  $\vec{v}$  and  $\vec{k}$  (note:  $\theta \neq \theta'$ )

$$\text{Thus } \omega' = \gamma \omega (1 - \beta \cos \theta)$$



$\omega'' =$  blue-shifted from  $\omega$



$\omega' =$  red-shifted from  $\omega$

$$\theta = 0 \quad \vec{k} \parallel \vec{v} \Rightarrow \omega' = \gamma(1 - \beta) \omega = \frac{1 - \beta}{\sqrt{1 - \beta^2}} \omega$$

or  $\omega' = \left(\frac{1 - \beta}{1 + \beta}\right)^{1/2} \omega < \omega$

$$\theta = \pi \quad \vec{k} \parallel -\vec{v} \quad \omega'' = \gamma(1 + \beta) \omega = \frac{1 + \beta}{\sqrt{1 - \beta^2}} \omega$$

or  $\omega'' = \left(\frac{1 + \beta}{1 - \beta}\right)^{1/2} \omega > \omega$

⇒ This is an example of the longitudinal Doppler shift. Note that the nonrelativistic result would be  $\omega' = (1 - \beta \cos \theta) \omega$ , which would have  $\omega' = \omega$  at  $\theta = 90^\circ$

But in relativity, even the TRANSVERSE Doppler shift is non-zero, i.e., at  $\theta = 90^\circ$ ,  $\omega' = \gamma \omega = \frac{\omega}{\sqrt{1 - \beta^2}}$

Experiment - see Saathoff et al.,  
Phys. Rev. Lett. 91, 190403 (2003)  
- has confirmed this to a limit of  $\pm 2.2 \times 10^{-7}$

## Velocity Addition Theorem

Suppose a particle in frame  $K$  is observed to move from  $(x_1, x_2, x_3)$  to  $(x_1 + dx_1, x_2 + dx_2, x_3 + dx_3)$  in the time interval from  $t$  to  $t + dt$ .

Observer  $K'$  sees these 2 events occurring at  $(x'_1, x'_2, x'_3)$  and  $(x'_1 + dx'_1, x'_2 + dx'_2, x'_3 + dx'_3)$  during the interval  $t'$  to  $t' + dt'$

$\Rightarrow$  Then if frame  $K'$  moves at velocity  $v \hat{x}_1$ , relative to  $K$ , these observations are related by a Lorentz transformation, namely

$$\begin{aligned} dx_0 &= \gamma_v (dx'_0 + \beta dx'_1) & dx_2 &= dx'_2 \\ dx_1 &= \gamma_v (dx'_1 + \beta dx'_0) & dx_3 &= dx'_3 \end{aligned}$$

Now, the ordinary velocity 3-vector components of the particle in each frame are:

$$u_i = c \frac{dx_i}{dx_0}, \quad u'_i = c \frac{dx'_i}{dx'_0}$$

whereby  $u_{||} = c \frac{dx'_1 + \beta dx'_0}{dx'_0 + \beta dx'_1} = c \left( \frac{\frac{dx'_1}{dx'_0} + \beta}{1 + \beta \frac{dx'_1}{dx'_0}} \right)$

or

$$u_{||} = \frac{u'_{||} + v}{1 + \frac{u'_{||} v}{c^2}}$$

And  $u_{\perp} = c \frac{dx_{\perp}}{dx_0} = c \frac{dx'_{\perp}}{\gamma_v (dx'_0 + \beta dx'_{||})}$

or

$$u_{\perp} = \frac{u'_{\perp}}{\gamma_v \left( 1 + \frac{u'_{||} v}{c^2} \right)}$$

These are the Einstein velocity addition formulas.

## Limiting cases

(i)  $u', v \ll c \Rightarrow \vec{u} = \vec{u}' + \vec{v}$ , coincides with the Galilean result

(ii) either  $u' \rightarrow c$ , or  $v \rightarrow c$   
 $\Rightarrow u \rightarrow c$

Important: velocities do NOT transform according to a Lorentz transformation!

Terminology: SPACELIKE versus TIMELIKE separations between events

Consider 2 events,  $P(t_a, \vec{x}_a)$  and  $P(t_b, \vec{x}_b)$   
The squared invariant interval between them is

$$\begin{aligned} S_{ab}^2 &= c^2 (t_a - t_b)^2 - |\vec{x}_a - \vec{x}_b|^2 \\ &= (\Delta x)^2 = c^2 \Delta t^2 - R^2 \end{aligned}$$

Case 1  $S_{ab}^2 > 0 \Rightarrow |c \Delta t| > R$

Claim: For this case we can always find an inertial frame  $K'$  for which

$$\vec{x}'_a - \vec{x}'_b = (x'_0, 0),$$

in which case we say that  $\vec{x}'_a - \vec{x}'_b$  is purely timelike.

Proof Align the  $x_1$ -axis along the vector

$$\vec{x}_a - \vec{x}_b \equiv \vec{x}_{ab}$$

then 
$$\vec{x}'_{ab} = \gamma (\vec{x}_{ab} - \vec{v}t)$$

so to find the frame where  $\vec{x}'_{ab} = 0$ ,

require  $R = |\vec{x}_{ab}| = vt$ , which is

possible since  $|ct| < R$ ,

i.e.  $v < c$  which is physically acceptable

Case 2  $S_{ab}^2 < 0 \Rightarrow |ct| < R$

or  $|ct| < |\vec{x}_{ab}|$

Claim: we can find an inertial frame  $K'$

in which  $t'_a - t'_b = 0$ ,

i.e. for which  $x'_a - x'_b = (0, \vec{x}'_{ab})$  is

PURELY SPACELIKE.

Proof  $x'_0 = \gamma (x_0 - \beta R)$

So to have  $x'_0 = 0$ , we require  $x_0 = \beta R$

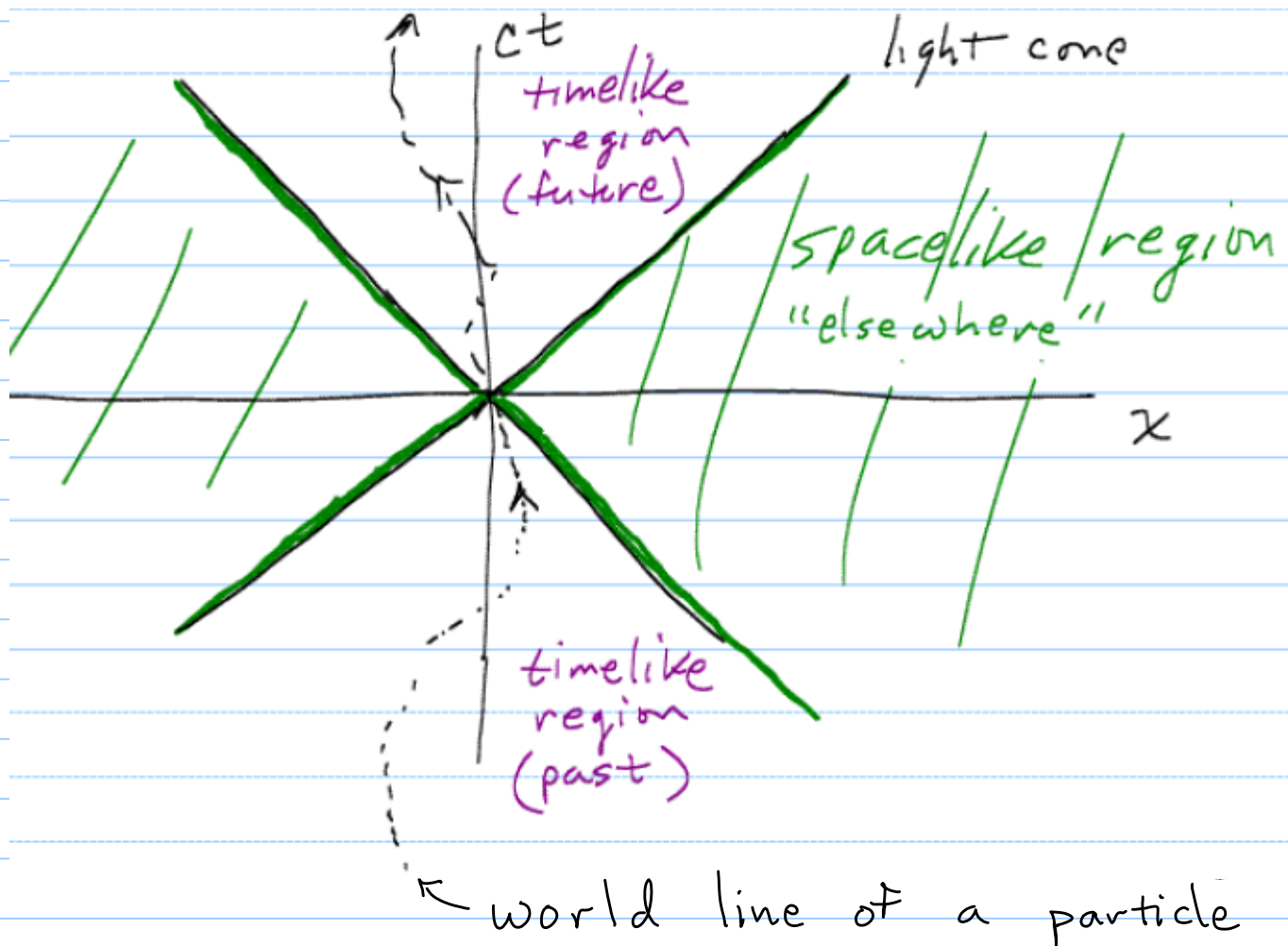
or  $ct = \frac{v}{c} R$ , and since  $ct < R$

$\Rightarrow \beta = \frac{v}{c} < 1$ , this is physically acceptable

Case 3 If  $S_{ab}^2 = 0 \Rightarrow$  A LIGHTLIKE separation

$\Rightarrow$  These 2 events can be connected only  
by light pulses.

CAUSALITY 2 events with a spacelike separation cannot be causally related, because they would require faster-than- $c$  communication, which is not possible.



Causality - consider a particle at the origin  
 $\Rightarrow$  1. It can only be affected then by events in the timelike past.  
2. It can only affect events in the future timelike region.

# Proper time and 4-velocity

The Einstein velocity addition formula differs from the Lorentz transformation because:

velocity = ratio between the spatial components of a 4-vector  $x$  and the timelike component of a 4-vector

Observe, however, that the ratio of a 4-vector to ANY invariant (scalar) would transform like a 4-vector.

In particular, an appropriate invariant to consider is the PROPER TIME.

e.g. recall that  $ds^2 = dx^\alpha dx_\alpha = \text{invariant}$

or  $ds^2 = c^2 dt^2 - d\vec{x}^2$ , which equals, in the particle's instantaneous rest frame,

But this  $\frac{dt'}{}$  is precisely the proper time between the "two events",

when the particle is at  $\vec{x}', t'$  and when it is at  $\vec{x}' + d\vec{x}'$ , at time  $t' + dt'$ .

Hence we can write this as  $ds^2 = c^2 d\tau^2$

Now, to express  $d\tau$  in terms of  $dt$  in any other frame, we apply our time dilation result, i.e.

$$dt = \gamma d\tau = \text{time interval in } K$$

Alternative argument: We can instead consider  $ds^2$ , which must be the same in the two frames, i.e.

$$ds^2 = \underbrace{c^2 d\tau^2}_{\substack{\text{in particle's} \\ \text{rest frame}}} = c^2 dt^2 - \underbrace{\left(\frac{d\vec{x}}{dt}\right)^2 dt^2}_{\substack{\text{in reference} \\ \text{frame } K}} = c^2 (1 - \beta^2) dt^2 = \frac{c^2 dt^2}{\gamma^2}$$

$\Rightarrow dt = \gamma d\tau$  by this argument too

Thus we define the 4-velocity as  $U^\alpha = \frac{dx^\alpha}{d\tau}$  which now transforms as a 4-vector!

Interpretation Since  $x^\alpha = (ct, \vec{x})$ , the space part of  $U^\alpha$  is

$$\vec{U} = \frac{d\vec{x}}{d\tau} = \gamma \frac{d\vec{x}}{dt} \Rightarrow \vec{U} = \gamma \vec{u}$$

while the time part is

$$U^0 = \frac{dx^0}{d\tau} = c \gamma \frac{dt}{dt} = c \gamma_u$$

so in other words,  $U^\alpha = \gamma_u (c, \vec{u})$

with  $\gamma_u = \frac{1}{\sqrt{1 - \frac{u^2}{c^2}}}$

Notation: capital  $U^\alpha$  is the 4-velocity, i.e.  $\vec{u}$  = ordinary velocity