

Return to the MSSM in order to consider the minimum of the full potential - There are many parameters:

Row #  
5

Gauge:  $g_1, g_2, g_3, \Theta_{QCD}, 3$

2+54

Superpotential:  $\mu, \bar{\mu}; y_u, y_d, y_{\nu}$   
 $y_u^+, y_d^+, y_{\nu}^+$   
 $y_u^-, y_d^-, y_{\nu}^-$

(  
 - 3 lepton masses  
 + 6 quark masses  
 - 3 quark mixing  $\chi^c$ 's  
 + 1 CP phase  
 )

6

Soft breaking:  $M_{1,2,3}, \bar{M}_{1,2,3}$  (one imaginary component can be removed by phase transf.)

2

$M_{K_{1d}}, \bar{M}_{K_{1d}}$   
 $M_{K_{1u}}, \bar{M}_{K_{1u}}$   
 $B, \bar{B}$  } effectively equiv. to  $m_{1d,u}^2$   
 (red using Higgs rephasing)

2

$M_{H_u}, M_{H_d}$

45

$M_{\ell}^2, M_{K\ell}, \bar{M}_{K\ell}$   
 $M_{e^c}^2, M_{K e^c}, \bar{M}_{K e^c}$   
 $M_{\nu}^2, M_{K\nu}, \bar{M}_{K\nu}$   
 $M_{\mu^c}^2, M_{K\mu^c}, \bar{M}_{K\mu^c}$   
 $M_{\tau^c}^2, M_{K\tau^c}, \bar{M}_{K\tau^c}$  } take effectively  $M_K^i = 0$   
 $M^2$  are  $3 \times 3$  Hermitian  
 $\Rightarrow 6 + 3 \text{ phases} = 9 \times 5 = 45$

54

$A_u$   
 $A_d$   
 $A_e$  }  $3 \times 3$  complex = 18 each

Now we discussed Susy breaking & will some more shortly - but we F-I term yields light scalars as does O'R. so take  $\mu_3 = 0$

We can rephase Higgs field  $\Rightarrow B = \text{real}$   
 " gluino "  $\Rightarrow M_3 = \text{real}$

Also the Kähler and gauge actions are  $U(3)^5$  globally invariant one for each  $L_F, Q_F, E_F, U_F^c, D_F^c$  but the superpotential and Yukawa terms and the Susy breaking A-terms are not invariant. Hence these transformations can be used to set some of these parameters to zero.  $U(3)$  has 3 angles and six phases parameters =  $3+6=9$  parameters each  $\Rightarrow$  total of  $5 \times 9 = 45$  parameters of the 170 should be removable. 2 of the phases however correspond to  $B$  and  $L$  which are invariances of the Lagrangian hence cannot be removed.

So we actually have

$$170 - 3 \rightarrow (45 - 2)$$

$$= 170 - 46 = \boxed{124 \text{ parameters!}}$$

(Note: There are additional soft breaking terms allowed for the MSSM which are in most models suppressed or zero they are the so called c-terms of the form  $AAA^+$  and correspond to

$$C_{uFG} \tilde{q}_F^+ \cdot H_d^+ \tilde{U}_G^c + C_{dFG} \tilde{q}_F^+ \cdot H_u^+ \tilde{d}_G^c + C_{lFG} \tilde{l}_F^+ \cdot H_u^+ \tilde{e}_G^c + h.c.$$

These would provide another  $(3 \times 3, \text{complex}) \times 3$  set of parameters  $18 \times 3 = 54$

For a total of  $124 + 54 = 178$  parameters.

we will take the  $C's = 0$ )

Recall the SM has 19 free parameters

- $g_1, g_2, g_3, \theta_{\text{QCD}}$ : 4 gauge parameters
- $\mu, \lambda$ : 2 Higgs potential parameters
- $m_q, m_l$ : 6 quark masses, 3 lepton masses
- $\theta_{ij}$ : 3 mixing angles
- $\delta$ : 1 CP phase

19 parameters!

The MSSM has 105 more parameters!!

Besides these enormity — consider the minimization of the scalar potential — How many directions in moduli space are there — that is how many <sup>real</sup> scalar fields are there in the MSSM — There are 2 real scalars for each chiral superfield

Superfields			#
$(U_F)$	$3 \times 2$	$\tilde{U}_F, \tilde{U}_F^+$	6
$(E_F)$	$3 \times 2$	$\tilde{E}_F, \tilde{E}_F^+$	6
$(\bar{d}_F)$	$3 \times 2 \times 3$	$\tilde{d}_F^a, \tilde{d}_F^{a+}$	18
$(d_F)$	$3 \times 2 \times 3$	$\tilde{d}_F^a, \tilde{d}_F^{a+}$	18
$E_F^c$	$3 \times 2$	$\tilde{E}_F^c, \tilde{E}_F^{c+}$	6
$U_F^c$	$3 \times 2 \times 3$	$\tilde{U}_F^{ca}, \tilde{U}_F^{ca+}$	18
$D_F^c$	$3 \times 2 \times 3$	$\tilde{d}_F^{ca}, \tilde{d}_F^{ca+}$	18
$(H_u^+)$	2	$H_u^+, H_u^-$	2
$(H_u^0)$	2	$H_u^0, H_u^{0+}$	2
$(H_d^0)$	2	$H_d^0, H_d^{0+}$	2
$(H_d^-)$	2	$H_d^-, H_d^{+}$	2
			<hr/>
			98 real fields!

So  $V = V(98 \text{ field directions!})$

|| Now the vacuum we desire should preserve electric charge, color or lepton number

So we will assume that no deeper minimum occurs for charged or colored or  $\tilde{B}$  (lepton #) fields getting a vev. Only the Higgs fields can get a vev and yield the minimum of the potential.

Now we can use the SU(2) gauge invariance to rotate the  $H_u$  vev to the lower component  $H_u^0$ . So only  $\langle H_u^0 \rangle \neq 0$

This will imply  $\langle H_d^- \rangle = 0$  by minimizing the potential.

So let

$$H_u = \begin{bmatrix} h_u^+ \\ \frac{1}{\sqrt{2}} \nu_u \end{bmatrix} ; H_d = \begin{bmatrix} \frac{1}{\sqrt{2}} \nu_d \\ h_d^- \end{bmatrix}$$

$$H_u^\dagger H_u = h_u^+ h_u^- + \frac{1}{2} \nu_u^2$$

$$H_d^\dagger H_d = h_d^+ h_d^- + \frac{1}{2} \nu_d^2$$

$$H_u^\dagger H_d = h_u^+ h_d^- - \frac{1}{2} \nu_u \nu_d$$

So

$$V_H = M_{H_u}^2 \left[ h_u^+ h_u^- + \frac{1}{2} \nu_u^2 \right] + M_{H_d}^2 \left[ h_d^+ h_d^- + \frac{1}{2} \nu_d^2 \right]$$

$$- b \left[ h_u^+ h_d^- - \frac{1}{2} \nu_u \nu_d + h_u^- h_d^+ - \frac{1}{2} \nu_u^* \nu_d^* \right]$$

$$+ \frac{g_2^2 + g_1^2}{4 \cdot 2} \left[ (|h_u^+|^2 + \frac{1}{2} \nu_u^2)^2 + (|h_d^-|^2 + \frac{1}{2} \nu_d^2)^2 \right]$$

$$+ \frac{g_2^2 - g_1^2}{2 \cdot 2} \left[ |h_u^+|^2 + \frac{1}{2} \nu_u^2 \right] \left[ |h_d^-|^2 + \frac{1}{2} \nu_d^2 \right]$$

$$-\frac{g_2^2}{2} |h_u^+ h_d^- - \frac{1}{2} v_u v_d|^2$$

Now we can use SU(2) transformation to set the  $h_u^+ = 0$  but first

$$\begin{aligned}
 0 = \frac{\partial V_H}{\partial h_u^+} &= M_{H_u}^2 h_u^- - b h_d^- + \frac{g_2^2 + g_1^2}{2 \cdot 2} h_u^- [ |h_u^+|^2 + \frac{1}{2} |v_u|^2 ] \\
 &\quad + \frac{g_2^2 - g_1^2}{2 \cdot 2} h_u^- [ |h_d^-|^2 + \frac{1}{2} |v_d|^2 ] \\
 &\quad - \frac{g_2^2}{2} \cdot 2 h_d^- [ h_u^+ h_d^- - \frac{1}{2} v_u^* v_d^* ] \\
 &= -b h_d^- - g_2^2 h_d^- [ -\frac{1}{2} v_u^* v_d^* ] \\
 &\Rightarrow \boxed{h_d^- = 0} = h_d^+
 \end{aligned}$$

So we consider the minimum of the Higgs potential for neutral components  $v_u, v_d$  only

$$\begin{aligned}
 V_H &= \frac{1}{2} M_{H_u}^2 |v_u|^2 + \frac{1}{2} M_{H_d}^2 |v_d|^2 \\
 &\quad + \frac{1}{2} b (v_u v_d + v_u^* v_d^*) \\
 &\quad + \frac{g_2^2 + g_1^2}{4 \cdot 2} \left[ \frac{1}{4} |v_u|^4 + \frac{1}{4} |v_d|^4 \right] \\
 &\quad + \frac{g_2^2 - g_1^2}{2 \cdot 2} \left( \frac{1}{4} |v_u|^2 |v_d|^2 \right) - \frac{1}{8} (g_2^2 |v_u|^2 |v_d|^2)
 \end{aligned}$$

$$V_H = \frac{1}{2} M_{Hu}^2 |v_u|^2 + \frac{1}{2} M_{Hd}^2 |v_d|^2 + \frac{1}{2} b (v_u v_d + v_u^* v_d^*) + \frac{g_1^2 + g_2^2}{32} \left[ |v_u|^2 - |v_d|^2 \right]^2$$

Minimum given by

$$1) \quad 0 = \frac{\partial V_H}{\partial v_u^*} = \frac{1}{2} M_{Hu}^2 v_u + \frac{1}{2} b v_d^* + \frac{g_1^2 + g_2^2}{8 \cdot 2} v_u \left[ |v_u|^2 - |v_d|^2 \right]$$

$$2) \quad 0 = \frac{\partial V_H}{\partial v_d^*} = \frac{1}{2} M_{Hd}^2 v_d + \frac{1}{2} b v_u^* - \frac{g_1^2 + g_2^2}{8 \cdot 2} v_d \left[ |v_u|^2 - |v_d|^2 \right]$$

and the conjugate equations.

Note  $v_u = 0 = v_d$  is a solution - no electroweak symmetry breaking. Now for the origin of field space to be a maximum rather than a minimum we require that we have a negative eigenvalue at the origin:  $\det \left| \frac{\delta^2 V_H}{\delta v_i \delta v_j} \right| \Big|_{v_u=v_d=0} < 0$

So

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$$\left. \frac{\partial^2 V_H}{\partial \nu_i \partial \nu_j} \right|_{\nu_n=0=\nu_d} = \begin{array}{c|cc} & \nu_d^* & \nu_n \\ \hline \nu_d & \frac{1}{2} M_{Hd}^2 & \frac{1}{2} b \\ \nu_n^* & \frac{1}{2} b & \frac{1}{2} M_{Hn}^2 \end{array}$$

$$\det V'' = \frac{1}{4} (M_{Hd}^2 M_{Hn}^2 - b^2) < 0$$

$$\Rightarrow \boxed{b^2 > M_{Hd}^2 M_{Hn}^2}$$

Now also the potential must be bounded from below for a stable ground state. In general the quartic field terms in the potential provide the stability for large values of the field ~~is~~ the potential grows quartically

However here we have a D-flat direction in field space where  $|\nu_n| = |\nu_d|$ , the quartic terms vanish. In this direction  $|\nu_n| = |\nu_d|$  the potential must be positive

$$\left. V_H \right|_{|\nu_n|=|\nu_d|} = \frac{1}{2} (M_{Hn}^2 + M_{Hd}^2) |\nu_n|^2 + \frac{1}{2} b |\nu_n|^2 (e^{i\theta_n} e^{i\theta_d} - i\theta_n - i\theta_d} + e^{-i\theta_n} e^{-i\theta_d})$$



So for the  $V_H > 0$  i.e. the potential to be bounded below since  $|v_u| = |v_d|$  for  $b > 0$  that term can grow negative arbitrarily

we find  $(M_{Hu}^2 + M_{Hd}^2) + 2b \cos(\theta_u + \theta_d) > 0$  in the  $|v_u| = |v_d|$  direction

$$\Rightarrow (M_{Hu}^2 + M_{Hd}^2) - 2|b| > 0$$

$$\Rightarrow \boxed{2|b| < (M_{Hu}^2 + M_{Hd}^2)}$$

When these conditions are satisfied the electroweak symmetry  $SU(2) \times U(1)$  breaks down to a conserved  $U(1)$  of electromagnetism.

Remarks: 1) The minima conditions imply  $v_u$  &  $v_d$  have opposite phases  
 $v_u = |v_u| e^{i\theta_u}$  ;  $v_d = |v_d| e^{+i\theta_d}$

Only solve equations if  $\theta_u = -\theta_d = 0$

But  $H_u$  &  $H_d$  have opposite  $U(1)$  hypercharges  $\pm \frac{1}{2}$ . So we can use the hypercharge gauge transformation to gauge away this phase. Hence  $v_u, v_d$  are real and positive. Since  $v_u, v_d$  are real - the vacuum is CP invariant - CP is not spontaneously broken.

2) Recall  $M_{H_u}^2 = m_{H_u}^2 + |b|\mu^2$

$$M_{H_d}^2 = m_{H_d}^2 + |b|\mu^2$$

The minimum stability relates Soft-Susy breaking parameters  $m_{H_u}^2, m_{H_d}^2$  and the good Susy superpotential mass  $\mu$ . These parameters should have nothing to do with each other.

$$b^2 > (m_{H_d}^2 + |b|\mu^2)(m_{H_u}^2 + |b|\mu^2)$$

$$2|b| < (m_{H_d}^2 + m_{H_u}^2 + 32|\mu|^2)$$

Now in general  $m_{H_d}^2$  &  $m_{H_u}^2$  are taken not equal with opposite signs. Note: if  $m_{H_d}^2 = m_{H_u}^2 = m^2 \neq$

a)  $b^2 > (m^2 + |b|\mu^2)^2$   
 $2|b| < 2(m^2 + |b|\mu^2)$

b)  $\Rightarrow b^2 < (m^2 + |b|\mu^2)^2$

Hence a) & b) imply no stable minimum - no solution.

So  $m_{H_d}^2 \neq m_{H_u}^2$ .

Suppose we take the typical relation

$$M_{H^2} = -\frac{1}{2} m_d^2 = -\frac{1}{2} m^2$$

Then we have

$$b^2 > (m^2 + 16|\mu|^2) \left( 16|\mu|^2 - \frac{1}{2}m^2 \right)$$

$$\text{or a) } \boxed{\frac{b^2}{m^2} > \left( 16\frac{|\mu|^2}{m^2} + 1 \right) \left( 16\frac{|\mu|^2}{m^2} - \frac{1}{2} \right)}$$

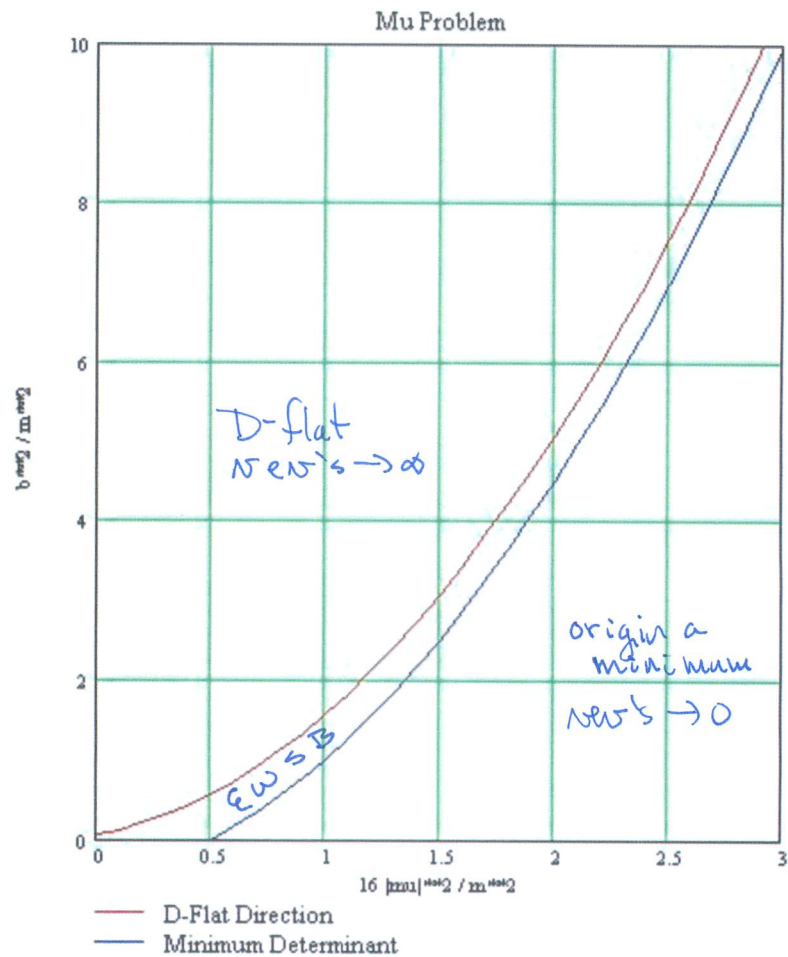
$$\& \quad 2|b| < \left( m^2 - \frac{1}{2}m^2 + 32|\mu|^2 \right)$$

$$\text{or } 2|b| < 2 \left( 16|\mu|^2 + \frac{1}{4}m^2 \right)$$

$$\Rightarrow \text{b.) } \boxed{\frac{b^2}{m^2} < \left( 16\frac{|\mu|^2}{m^2} + \frac{1}{4} \right)^2}$$

Notice in the graph— only the thin region between the 2 curves implies electroweak symmetry breaking. Above the top curve the D-flat directions the Higgs vev's run away to  $\infty$ —the potential is not bounded below. Below the bottom curve the origin is the stable minimum and the Higgs vev's run to 0.

This required EWSB sliver of allowed  $\mu$ -values is known as the  $\mu$ -problem.



Supposing that these conditions between  $\mu, b, M_{H_u}^2, M_{H_d}^2$  are met  $\rightarrow$  we have EWSB.

$$\text{Then } \langle 0 | H_u^0 | 0 \rangle = \nu_u / \sqrt{2}$$

$$\langle 0 | H_d^0 | 0 \rangle = \nu_d / \sqrt{2}$$

and we define the ratio of vev's

$$\tan \beta \equiv \frac{\nu_u}{\nu_d}$$

$$\nu^2 = \nu_u^2 + \nu_d^2$$

$$\Rightarrow \sin \beta = \frac{\nu_u}{\sqrt{\nu_u^2 + \nu_d^2}}$$

$$\cos \beta = \frac{\nu_d}{\sqrt{\nu_u^2 + \nu_d^2}}$$

where  $0 < \beta < \pi/2$ .

The minimization conditions of the vanishing of the  $V_H$  derivatives becomes (p. 63-)

$$1) (M_{H_u}^2 + |b|\mu^2) \tan \beta + b + \frac{M_Z^2}{2} \tan \beta \cos 2\beta = 0$$

$$2) (M_{H_d}^2 + |b|\mu^2) \cot \beta + b - \frac{M_Z^2}{2} \cot \beta \cos 2\beta = 0$$

$$\text{where } M_Z^2 \equiv \frac{(g_1^2 + g_2^2) \nu^2}{4}; \quad \nu^2 = \nu_u^2 + \nu_d^2$$

$$(\nu \approx 246 \text{ GeV})$$

Subtracting the equations  $\Rightarrow$

$$1') \quad |b|\mu^2 = \frac{m_{H_d}^2 - m_{H_u}^2 \tan^2 \beta}{\tan^2 \beta - 1} + \frac{1}{2} M_Z^2$$

and adding the equations  $\Rightarrow$

$$2') \quad -2b = (M_{H_u}^2 + M_{H_d}^2) \sin 2\beta \\ = (M_{H_u}^2 + M_{H_d}^2 + 2|b|\mu^2) \sin 2\beta$$

These are the minimization criteria for EWSB.

Equation 2') expresses parameter  $b$  in terms of  $\beta$  - so trade  $b$  for  $\beta$ .

Equation 1') gives the tuning equation for  $|\mu|$  once  $m_{H_d}^2, m_{H_u}^2, \beta$  are fixed to give the observed value of  $M_Z$ .

This is again another way to express the  $\mu$ -problem since soft-SUSY breaking terms  $m_{H_u}^2, m_{H_d}^2$  have nothing to do with the good SUSY  $\mu$ -parameter.