

## Chapter 8 - The Variational Principle (for the energy)

In quantum mechanics there are variational principles for a wide variety of parameters: energy, log. derivative,  $\tan$  (phase shift), scattering matrix...

The basic idea: you have a decent guess at the wave function (usually called the trial wave function). There is a functional that gives the desired variable accurate to 2<sup>nd</sup> order in the difference of the trial function and the exact wave function.

The trial function will be written symbolically as  $\Psi_{tr} = \Psi_{ex} + \delta\Psi$  ( $\Psi_{ex}$  is the exact, but unknown, wave function)

The book shows  $E_{gs} \leq \langle \Psi_{tr} | H | \Psi_{tr} \rangle$  assuming  $\langle \Psi_{tr} | \Psi_{tr} \rangle = 1$

These notes will take a different perspective.

First do the case for the ground state.

$$E_{gs} = \frac{\langle \Psi_{tr} | H | \Psi_{tr} \rangle}{\langle \Psi_{tr} | \Psi_{tr} \rangle} + O(\delta\Psi^2)$$

To show this, we only need the Hermiticity of  $H$ . How to show? Plug  $\Psi_{tr}$  into expression

$$\begin{aligned} \langle \Psi_{tr} | H | \Psi_{tr} \rangle &= \langle \Psi_{ex} | H | \Psi_{ex} \rangle + \langle \delta\Psi | H | \Psi_{ex} \rangle + \langle \Psi_{ex} | H | \delta\Psi \rangle + \langle \delta\Psi | H | \delta\Psi \rangle \\ &= E_{gs} + E_{gs} \langle \delta\Psi | \Psi_{ex} \rangle + E_{gs} \langle \Psi_{ex} | \delta\Psi \rangle + E_{gs} \langle \delta\Psi | \delta\Psi \rangle \\ &\quad + \langle \delta\Psi | H - E_{gs} | \delta\Psi \rangle \\ &= E_{gs} \langle \Psi_{tr} | \Psi_{tr} \rangle + \langle \delta\Psi | H - E_{gs} | \delta\Psi \rangle \end{aligned}$$

Divide this by the normalization integral

$$\frac{\langle \Psi_{tr} | H | \Psi_{tr} \rangle}{\langle \Psi_{tr} | \Psi_{tr} \rangle} = E_{gs} + \frac{\langle \delta\Psi | H - E_{gs} | \delta\Psi \rangle}{\langle \Psi_{tr} | \Psi_{tr} \rangle} \quad \text{q. e. d.}$$

Why is the last term positive?? The left hand side gives an upper bound on the ground state energy. You don't need to know  $\delta\Psi$

$$E_{gs} \leq \langle \Psi_{tr} | H | \Psi_{tr} \rangle / \langle \Psi_{tr} | \Psi_{tr} \rangle$$

Important This treatment can be extended to any eigenstate

$$\Psi_{n, \text{tr}} = \Psi_n + \delta\Psi_n$$

$$\frac{\langle \Psi_{n, \text{tr}} | H | \Psi_{n, \text{tr}} \rangle}{\langle \Psi_{n, \text{tr}} | \Psi_{n, \text{tr}} \rangle} = E_n + \frac{\langle \delta\Psi_n | H - E_n | \delta\Psi_n \rangle}{\langle \Psi_{n, \text{tr}} | \Psi_{n, \text{tr}} \rangle}$$

However, the last term on the right hand side can be positive or negative, unless!!  $\delta\Psi_n$  is orthogonal to all eigenstates with energy less than  $E_n$ .

Example: Use the trial wave function  $\Psi_{\text{tr}}(x) = A \cdot x \cdot (a-x)$  to estimate the ground state energy of the infinite square well.

$$\text{Find } A \quad A^2 \int_0^a x^2 (a-x)^2 dx = A^2 \int_0^a a^2 x^2 - 2ax^3 + x^4 dx = A^2 a^5 \left( \frac{1}{3} - \frac{2}{4} + \frac{1}{5} \right) \\ = A^2 a^5 / 30 \Rightarrow A = (30/a^5)^{1/2}$$

$$\text{Compute } \langle \Psi_{\text{tr}} | H | \Psi_{\text{tr}} \rangle = A^2 \int_0^a x(a-x) \left( -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} x(a-x) \right) dx \\ = A^2 \int_0^a x(a-x) \frac{\hbar^2}{m} dx = A^2 \frac{\hbar^2}{m} \int_0^a xa - x^2 dx \\ = A^2 \frac{\hbar^2}{m} a^3 \left( \frac{1}{2} - \frac{1}{3} \right) = \frac{30}{a^5} \frac{\hbar^2}{m} a^3 \frac{1}{6} = \frac{5\hbar^2}{ma^2}$$

$$\text{Compare to exact grnd state } E_{\text{gs}} = \frac{\hbar^2 \pi^2}{2ma^2} = 4.935 \frac{\hbar^2}{ma^2}$$

The variational princ. gave an energy too high by 1.3%

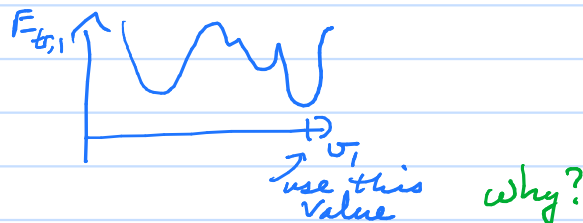
What trial function could use for 1<sup>st</sup> excited state of the infinite square well?  $\Psi_{\text{tr},2} = A \cdot x \cdot (a-x) \cdot (x - a/2)$   
↑ node in middle

Why is this method called "variational principle"? In the trial function have variables that can change. Since the energy estimate has error quadratic in  $\delta\Psi$ , change the variables until closest to exact  $E$ . How do you know when the energy estimate is closest?

$\Psi_{tr,n}(x, \sigma_1, \sigma_2, \sigma_3 \dots)$  a trial function with several variables  $\sigma_1, \sigma_2 \dots$

$$E_{tr,n}(\sigma_1, \sigma_2, \sigma_3 \dots) = \frac{\langle \Psi_{tr,n} | H | \Psi_{tr,n} \rangle}{\langle \Psi_{tr,n} | \Psi_{tr,n} \rangle}$$

For one variable looks like



Method: 1) Find  $\sigma_1, \sigma_2, \dots, \sigma_m$  where  $\frac{\partial E_{tr,n}}{\partial \sigma_1} = 0, \frac{\partial E_{tr,n}}{\partial \sigma_2} = 0, \dots$

2) once you've found  $\sigma_1, \sigma_2 \dots$ , substitute back into  $E_{tr,n}$

See many examples in text book.

Example with nonlinear variation: Griffiths Examples 8.1, 8.2  
Sec 8.2, Sec 8.3

Example with nonlinear variation: In 1D, any potential with  $V(x) \leq 0$  and  $V(x \rightarrow \infty) = 0$  and  $V(x \rightarrow -\infty) = 0$  has at least one bound state. Test on  $V(x) = -V_0 e^{-ax^2}$

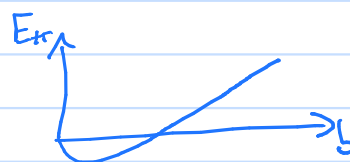
Use trial fct  $\Psi_{tr} = \left(\frac{2b}{\pi}\right)^{1/4} e^{-bx^2}$

$$\begin{aligned} \langle \Psi_{tr} | \frac{p^2}{2m} | \Psi_{tr} \rangle &= \frac{1}{2m} \langle p \Psi_{tr} | p \Psi_{tr} \rangle = \frac{\sqrt{2b}}{\pi} \frac{\hbar^2}{2m} \int_{-\infty}^{\infty} \left( \frac{\partial e^{-bx^2}}{\partial x} \right)^2 dx \\ &= \frac{\sqrt{2b}}{\pi} \frac{\hbar^2}{2m} \int_{-\infty}^{\infty} 4b^2 x^2 e^{-bx^2} dx = \frac{\sqrt{2b}}{\pi} \frac{\hbar^2}{2m} 4b^2 \sqrt{\pi} \frac{1}{2} (2b)^{-3/2} = \frac{\hbar^2 b}{2m} \end{aligned}$$

$$\langle \Psi_{tr} | V | \Psi_{tr} \rangle = -V_0 \frac{\sqrt{2b}}{\pi} \int_{-\infty}^{\infty} e^{-(2b+a)x^2} dx = -V_0 \frac{\sqrt{2b}}{\pi} \sqrt{\frac{\pi}{2b+a}} = -V_0 \sqrt{\frac{2b}{2b+a}}$$

Put together to get energy estimate

$$E_{tr,gs} = \frac{\hbar^2 b}{2m} - V_0 \sqrt{\frac{2b}{2b+a}}$$



Actual ground state energy is less than minimum  $\Rightarrow E_{gs} < 0$   
 $\Rightarrow$  At least 1 bound state

For barely bound  $a \gg b$   $E_{tr,gs} \cong \frac{\hbar^2 b}{2m} - \frac{V_0}{\sqrt{a}} \sqrt{2b}$

Use this expression to find  $b$

$$\frac{\partial E_{tr}}{\partial b} = \frac{\hbar^2}{2m} - \frac{V_0}{\sqrt{a}} \frac{2m}{\sqrt{2b}} = 0 \Rightarrow \sqrt{b} = \frac{V_0}{\sqrt{2a}} \frac{2m}{\hbar^2}$$

Substitute back into the expression for  $E_{tr}$

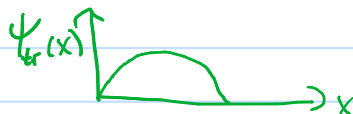
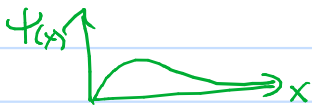
$$E_{tr} = \frac{\hbar^2}{2m} \frac{V_0^2}{2a} \frac{4m^2}{\hbar^4} - \frac{V_0 \sqrt{2}}{\sqrt{a}} \frac{V_0}{\sqrt{2a}} \frac{2m}{\hbar^2} = \frac{2m}{\hbar^2} \frac{V_0^2}{2a} - \frac{2m}{\hbar^2} \frac{V_0^2}{a} = -\frac{2m}{\hbar^2} \frac{V_0^2}{2a}$$

The actual ground state energy is less than this

Another nonlinear variation example: Estimate the ground state energy for a constant negative force plus an infinite wall at  $x=0$ .



The wave function has to go to 0, linearly as  $x \rightarrow 0$   
 " " " " " " as  $x \rightarrow \infty$ .



$$\Psi_{tr}(x) = A x (a-x)$$

Use the info from the  $\infty$  square well example  $A = \sqrt{\frac{30}{a^5}}$

$$\langle \Psi_{tr} | KE | \Psi_{tr} \rangle = \frac{5\hbar^2}{ma^2}$$

$$\begin{aligned} \langle \Psi_{tr} | V | \Psi_{tr} \rangle &= \frac{30}{a^5} F \int_0^a x^3 (a-x)^2 dx = \frac{30}{a^5} F \int_0^a x^3 a^2 - 2x^4 a + x^5 dx \\ &= \frac{30}{a^5} F a^6 \left( \frac{1}{4} - \frac{2}{5} + \frac{1}{6} \right) = \frac{30}{a^5} F a^6 \frac{1}{60} = \frac{1}{2} F a \end{aligned}$$

Combine  $E_{tr} = \frac{5\hbar^2}{ma^2} + \frac{1}{2} F a$



$$\frac{\partial E_{tr}}{\partial a} = 0 = -\frac{10\hbar^2}{ma^3} + \frac{F}{2} \Rightarrow a^3 = \frac{20\hbar^2}{Fm}$$

$$\begin{aligned} E_{tr} &= \frac{5\hbar^2}{m} \left( \frac{Fm}{20\hbar^2} \right)^{2/3} + \frac{1}{2} F \left( \frac{20\hbar^2}{Fm} \right)^{1/3} = \left( \frac{F^2 \hbar^2}{m} \right)^{1/3} \left[ \frac{5}{(20)^{2/3}} + \frac{(20)^{1/3}}{2} \right] \\ &= \left( \frac{F^2 \hbar^2}{m} \right)^{1/3} \frac{3}{4} \end{aligned} \quad \text{Check units}$$

The exact eigenenergies are  $E_n = - \left( \frac{F^2 \hbar^2}{2m} \right)^{1/3} a_n$  ✓ zeros of the Airy function  
 -2.33811, -4.08795, ...

$$20^{1/3} \frac{3}{4} = 2.0358 > \frac{2.33811}{2^{1/3}} = 1.85576 \quad (\approx 10\% \text{ too high})$$

The vast majority of times when I've used the variational principle is with linear variables.

$$\Psi_{tr,n} = y_1(x) a_{1,n} + y_2(x) a_{2,n} + y_3(x) a_{3,n} + \dots$$

Most common case  $\int_{-\infty}^{\infty} y_n^*(x) y_m(x) dx = \delta_{nm}$

For simplicity only look at case where the  $y$ 's are real and the  $\Psi_{tr}$  is real.

$$\langle \Psi_{tr,n} | H | \Psi_{tr,n} \rangle = \langle \Psi_{tr,n} | \Psi_{tr,n} \rangle E_{tr,n}$$

$$\sum_{m,m'} H_{m,m'} a_{m,n} a_{m',n} = \sum_m a_{m,n} a_{m,n} E_{tr,n}$$

Take the derivative of both sides with respect to  $a_{m',n}$  and remember  $\partial E_{tr,n} / \partial a_{m',n} = 0$

$$\sum_m H_{m,m'} a_{m,n} + \sum_m H_{m',m} a_{m,n} = 2 \sum_m H_{m',m} a_{m,n} = 2 a_{m',n} E_{tr,n}$$

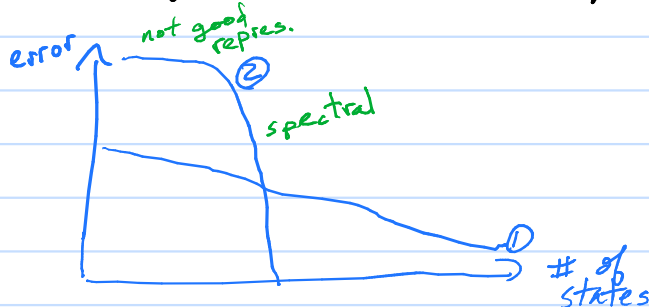
$$\underline{\underline{H}} \vec{a}_n = \vec{a}_n E_{tr,n}$$

The  $E_{tr,n}$  are the eigenvalues of the matrix  $\underline{\underline{H}}$  and the  $\vec{a}$  are the eigenvectors.

Most typically the  $E_{tr,n}$  with smaller  $n$  are the most accurate.

Two strategies are prevalent: 1) have the  $y_n$  be eigenstates of Hamiltonian similar to one you're trying to solve (most commonly used when computational resources are limited) or 2) choose  $y_n$  for ease of evaluating  $H_{nm}$  and just hammer the problem with a gazillion states

Convergence properties of two methods



Test case: use  $\psi_n(x) = \sqrt{\frac{2}{a}} \sin\left(\frac{n\pi x}{a}\right)$  to find the eigenstates and eigenvalues of  $V(x) = \begin{cases} = \infty & x < 0 \\ = Fx & x > 0 \end{cases}$

$$H_{n,n'} = KE_{n,n'} + V_{n,n'}$$

$$KE_{n,n'} = \frac{\hbar^2 \pi^2 n^2}{2ma^2} \delta_{n,n'}$$

$$V_{n,n'} = F \frac{2}{a} \int_0^a \sin\left(\frac{n\pi x}{a}\right) \sin\left(\frac{n'\pi x}{a}\right) x dx = 2aF \int_0^1 \sin(n\pi x) \sin(n'\pi x) x dx$$

$$= 2aF \frac{1}{4} \quad n=n'$$

$$= 2aF \left\{ \left[ \frac{1}{(n'+n)^2} - \frac{1}{(n'-n)^2} \right] / \pi^2 \right\} \frac{1 - (-1)^{n'+n}}{2} \quad \text{only nonzero } n'+n = \text{odd}$$

Need to choose  $a$  larger than the extent of the wave function or the infinite wall at  $a$  shifts the energy but if  $a$  is too big then need a lot of wave functions

$$\hbar^2/ma^2 \text{ and } Fa \text{ are both energy scales} \Rightarrow a^3 \propto \frac{\hbar^2}{mF} \quad a = C \left( \frac{\hbar^2}{mF} \right)^{1/3}$$

$$\text{The energy scale is } Fa = E_{sc} = \left( \frac{\hbar^2 F^2}{m} \right)^{1/3}$$

$$KE_{n,n'} = E_{sc} \frac{\pi^2 n^2}{2C^2} \delta_{n,n'}$$

$$V_{n,n'} = \frac{1}{2} E_{sc} C \delta_{n,n'}$$

$$= 2E_{sc} C \left\{ \left[ \frac{1}{(n'+n)^2} - \frac{1}{(n'-n)^2} \right] / \pi^2 \right\} \frac{1 - (-1)^{n+n'}}{2}$$

See plots and data for energies and wave functions

In much of atoms, molecules, ... the electronic states are determined using a mix of linear and non-linear variational parameters. As an extreme example, the non-relativistic,  $\infty$  mass, point charge He ground state energy is known to 24 digits. This is much more accurate than the fundamental constants that go into the definition of the energy scale  $E_{sc} = e^2/4\pi\epsilon_0 a_0$

The following is the standard undergrad textbook derivation of the He ground state energy, but I compute the  $1/r_{12}$  matrix element using a different idea.

The He Hamiltonian is  $H = \frac{p_1^2}{2m} - \frac{ze^2}{4\pi\epsilon_0 r_1} + \frac{p_2^2}{2m} - \frac{ze^2}{4\pi\epsilon_0 r_2} + \frac{e^2}{4\pi\epsilon_0 r_{12}}$

$$r_{12}^2 = r_1^2 + r_2^2 - 2\vec{r}_1 \cdot \vec{r}_2$$

The leading term in the two electron wave function is a spherically symmetric wave function for each individual electron. If the last term in the Hamiltonian is removed, then this is exact.

$$\Psi_{tr} = \sqrt{\frac{z^3}{\pi a_0^3}} e^{-zr_1/a_0} \sqrt{\frac{z^3}{\pi a_0^3}} e^{-zr_2/a_0} = \Psi_{100}(r_1; z) \Psi_{100}(r_2; z)$$

The  $\Psi_{100}$  are the Hydrogenic wave fct for  $H(z) = \frac{p^2}{2m} - \frac{ze^2}{4\pi\epsilon_0 r}$

From the virial thm  $\left. \begin{aligned} \langle \Psi_{n\ell m} | \frac{p^2}{2m} | \Psi_{n\ell m} \rangle &= -z^2 E_n \\ \langle \Psi_{n\ell m} | \frac{-ze^2}{4\pi\epsilon_0 r} | \Psi_{n\ell m} \rangle &= 2z^2 E_n \end{aligned} \right\} \text{See Prob 4.48b}$

The 1<sup>st</sup> 4 terms of the Hamiltonian give

$$\langle \Psi_{tr} | \frac{p^2}{2m} - \frac{ze^2}{4\pi\epsilon_0 r_1} + \frac{p^2}{2m} - \frac{ze^2}{4\pi\epsilon_0 r_2} | \Psi_{tr} \rangle = -2z^2 E_1 + 2(2z^2 E_1) = (-2z^2 + 8z^2) E_1$$

I will calculate the  $V_{12}$  matrix element using a different idea than the book

$$\langle \Psi_{tr} | \frac{e^2}{4\pi\epsilon_0 r_{12}} | \Psi_{tr} \rangle = \int \Psi_{100}^2(r_1, z) e \left[ \int \Psi_{100}^2(r_2, z) \frac{e}{4\pi\epsilon_0 r_2} d^3r_2 \right] d^3r_1$$

The term in square brackets is the electric potential from the spherically symmetric density distribution  $\rho(r_2) = e \Psi_{100}^2(r_2, z)$   
Argument for why  $\vec{E}$  is spherically symmetric

$$E_r(r) = \frac{1}{4\pi\epsilon_0 r^2} \left[ 4\pi \int_0^r \rho(r') r'^2 dr' \right] = \frac{e}{\epsilon_0 r^2} \frac{z^3}{\pi a_0^3} \int_0^r r'^2 e^{-2zr'/a_0} dr'$$

$$= \frac{e}{4\pi\epsilon_0 r^2} \left[ 1 - e^{-2zr/a_0} \left( 1 + \frac{2rz}{a_0} + \frac{2r^2 z^2}{a_0^2} \right) \right]$$

Now use  $E_r = -\frac{\partial V}{\partial r}$  and integrate by parts

$$\langle \Psi_{tr} | \frac{e^2}{4\pi\epsilon_0 r_{12}} | \Psi_{tr} \rangle = \int Q_{ms}(r_1) E(r_1) dr_1 = \frac{e^2}{4\pi\epsilon_0} \int_0^\infty \frac{1}{r_1^2} \left[ 1 - e^{-2zr_1/a_0} \left( 1 + \frac{2rz}{a_0} + \frac{2r^2 z^2}{a_0^2} \right) \right] dr_1$$

$$= \frac{2ze^2}{4\pi\epsilon_0 a_0} \int_0^\infty \frac{1}{x^2} \left[ 1 - e^{-x} \left( 1 + \frac{x}{2} + \frac{x^2}{2} \right) \right] dx = \frac{2ze^2}{4\pi\epsilon_0 a_0} \frac{5}{16} = -\frac{5}{4} z^2 E_1 \quad (Eq 8.32)$$

Put all of the pieces together

$$\langle \Psi_{tr} | H | \Psi_{tr} \rangle = (-2z^2 + 8z - \frac{5}{4}z) E_{100} \equiv E_{tr}$$

How to find the best  $z$ ?

$$\frac{\partial E_{tr}}{\partial z} = -4z + 8 - \frac{5}{4} = 0 \quad z = \frac{27}{16} \approx 1.69$$

$$E_{tr} = (-2(\frac{27}{16})^2 + \frac{27}{4} \frac{27}{16}) E_1 = \frac{1}{2} (\frac{3}{2})^6 E_1 \approx -77.5 \text{ eV} \quad \sim 2\% \text{ error}$$

He requires 24.59 eV to ionize } He binding energy  
He<sup>+</sup> " 54.42 " " } 79.01 eV

The ultra high accuracy currently achieved by large basis sets and understanding of  $\Psi$  as  $r_1 \rightarrow 0$  and/or  $r_2 \rightarrow 0$  and/or  $r_{12} \rightarrow 0$

The main physical effect missing is electron correlation. There tends to be less probability at  $r_{12} \sim \text{small}$  for given  $r_1 + r_2$ . Our wave fct. misses this effect completely.

See the book for H<sub>2</sub><sup>+</sup>

Last case to look at: why does it seem that electrons can almost freely travel through some solids? The ions/atoms seem like they should scatter the electron over distance scale  $\sim 1-10 \text{ \AA}$

**Tight Binding Approximation** Look into the case where the electron is localized to one site to a good approximation.





Use a trial wave fct consisting of basis functions localized to each of the sites.

$$y_j(x) = f(x - x_j)$$

$$\Psi_{t,n} = y_1(x) a_{1,n} + y_2(x) a_{2,n} + \dots$$

From the variational principle with linear coefficients

$$\underline{H} \underline{a}_n = E_{t,n} \underline{a}_n$$

In the tight binding approximation,

$$\int_{-\infty}^{\infty} y_j(x) y_{j'}(x) dx = \delta_{jj'}$$

$$H_{j,j} \equiv \int_{-\infty}^{\infty} y_j(x) H y_j(x) dx \equiv \epsilon_0$$

$$H_{j,j\pm 1} = \int_{-\infty}^{\infty} y_j(x) H y_{j\pm 1}(x) dx \equiv -V$$

$$H_{j,j\pm 2} = 0 \quad \text{for } |j| \geq 2$$

only the nearest sites in H  
 Note - sign  
 V = hopping amplitude  
 Why  $\epsilon_0$  doesn't depend on j?

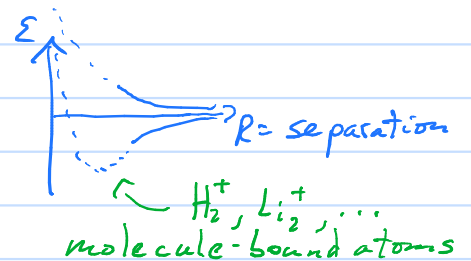
Two sites

$$\begin{pmatrix} \epsilon_0 & -V \\ -V & \epsilon_0 \end{pmatrix} \begin{pmatrix} a_{1,n} \\ a_{2,n} \end{pmatrix} = E_n \begin{pmatrix} a_{1,n} \\ a_{2,n} \end{pmatrix}$$

$$E_{\pm} = \epsilon_0 \mp V$$

$$\underline{a}_{\pm} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ \pm 1 \end{pmatrix}$$

|V| increases as atoms get closer



For solids, the number of sites  $\sim$  really huge. Can take the number of sites to be  $N \rightarrow \infty$ . In this case what happens at the boundary ( $j=0$  and  $j=N+1$ ) not important. Approximate as a ring

$$H = \begin{pmatrix} \epsilon_0 - V & & & -V \\ -V & \epsilon_0 - V & & \\ & & \ddots & \\ 0 & & & \epsilon_0 - V \\ -V & & & & \epsilon_0 - V \end{pmatrix}$$

Note the V in the corner. Like saying  $a_{0,n} = a_{N,n}$

$$-V a_{j-1,n} + \epsilon_0 a_{j,n} - V a_{j+1,n} = E_n a_{j,n}$$

Since there's nothing to make site j special guess the form

$$a_{j,n} = \frac{1}{\sqrt{N}} e^{i\beta_n j}$$

How to tell if this is a solution?

$$-V \frac{1}{\sqrt{N}} e^{i\beta_n j} e^{-i\beta_n} + \epsilon_0 \frac{1}{\sqrt{N}} e^{i\beta_n j} + -V \frac{1}{\sqrt{N}} e^{i\beta_n j} e^{i\beta_n} = \epsilon_n \frac{1}{\sqrt{N}} e^{i\beta_n j}$$

$$\epsilon_n = \epsilon_0 - 2V \cos \beta_n$$

How to determine the  $\beta_n$ ?  $a_{0,n} = a_{N,n}$   $1 = e^{i\beta_n N}$

Two equivalent ways to get all of the values:

$$\beta_n = \frac{2\pi}{N} n \quad n=1, 2, \dots, N \quad \text{or} \quad n=0, 1, 2, \dots, N-1 \quad \text{why the same?}$$

OR

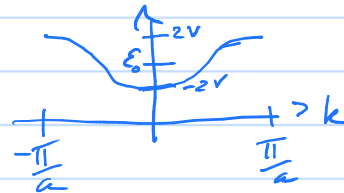
$$\beta_n = \frac{2\pi}{N} n \quad n = -\frac{N-1}{2}, -\frac{N-3}{2}, \dots, -1, 0, 1, \dots, \frac{N-3}{2}, \frac{N-1}{2} \quad N = \text{odd}$$

$$= -\frac{N-2}{2}, -\frac{N-4}{2}, \dots, -1, 0, 1, \dots, \frac{N-2}{2}, \frac{N}{2} \quad N = \text{even}$$

The second case is more typical because it looks like momentum states

$$p_n = \frac{\hbar}{a} \beta_n \quad \text{Lattice momentum}$$

$$k_n = \frac{1}{a} \beta_n$$



Show band structure plots.

What determines electrical conductors/insulators/etc?

If you don't want wrap bdy conditions, imagine the lattice going to 0 and  $N+1$  with bdy conds.  $a_{0,n} = 0$ ,  $a_{N+1,n} = 0$

$$a_{j,n} = \sqrt{\frac{2}{N}} \sin(\beta_n j) \quad \beta_n = \frac{n\pi}{N+1} \quad n=1, 2, 3, \dots, N$$

$$\epsilon_n = \epsilon_0 - 2V \cos(\beta_n)$$

Note that wrap conditions gives most states doubly degenerate while fixed bdy ends gives all states non degenerate.

The "density of states" are the same in the limit  $N \rightarrow \infty$