

# Magnetic field-induced helical mode and topological transitions in a topological insulator nanoribbon

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**The spin-helical Dirac fermion topological surface states in a topological insulator nanowire or nanoribbon promise novel topological devices and exotic physics such as Majorana fermions. Here, we report local and non-local transport measurements in Bi<sub>2</sub>Te<sub>3</sub> topological insulator nanoribbons that exhibit quasi-ballistic transport over ~2 μm. The conductance versus axial magnetic flux  $\Phi$  exhibits Aharonov-Bohm oscillations with maxima occurring alternately at half-integer or integer flux quanta ( $\Phi_0 = h/e$ , where  $h$  is Planck's constant and  $e$  is the electron charge) depending periodically on the gate-tuned Fermi wavevector ( $k_F$ ) with period  $2\pi/C$  (where  $C$  is the nanoribbon circumference). The conductance versus gate voltage also exhibits  $k_F$ -periodic oscillations, anti-correlated between  $\Phi = 0$  and  $\Phi_0/2$ . These oscillations enable us to probe the Bi<sub>2</sub>Te<sub>3</sub> band structure, and are consistent with the circumferentially quantized topological surface states forming a series of one-dimensional subbands, which undergo periodic magnetic field-induced topological transitions with the disappearance/appearance of the gapless Dirac point with a one-dimensional spin helical mode.**

Three-dimensional topological insulators (TIs) are a new class of quantum matter with an insulating bulk and conducting surface states, topologically protected against time-reversal-invariant perturbations (such as scattering by non-magnetic impurities, crystalline defects and surface roughness)<sup>1,2</sup>. The metallic surface states of TIs have been unambiguously demonstrated by surface-sensitive experiments, for example using angle-resolved photoemission spectroscopy (ARPES)<sup>3,4</sup> and scanning tunnelling microscopy<sup>5</sup>. However, probing the surface states of TI bulk crystals (such as Bi<sub>2</sub>Te<sub>3</sub>; for a schematic of its bandstructure see Fig. 1a, where the bulk bands and the topological surface states, TSSs, are depicted) by transport measurements has been more challenging because of non-insulating bulk conduction<sup>6</sup>. Different approaches have been used to reduce the bulk contribution, such as by the fabrication of nanostructured devices based on nanowires/nanoribbons<sup>7–11</sup> and ultrathin films<sup>12,13</sup>.

Topological insulator nanowires (TINWs, including the nanoribbons (TINRs) studied here) are topologically analogous to hollow metallic cylinders. Confinement of the surface along the circumference ( $C$ ) gives discretely quantized circumferential momentum  $k_\perp$  (Fig. 1b) and generates a series of one-dimensional surface subbands or quantized surface-state modes. Unique to TINWs, the spin-helical surface states cause the spin to be tangential to the surface and perpendicularly locked to the momentum, such that a particle (with momentum along the transport axial direction,  $k_\parallel$ ), as depicted in Fig. 1b for a TINR) picks up a Berry's phase of  $\pi$  due to the  $2\pi$  rotation of the spin as it travels around the circumference. When an axial magnetic field  $B$  is applied (with flux  $\Phi = BA$ , where  $A$  is the cross-sectional area), the electron wavefunction picks up an Aharonov-Bohm (AB) phase of  $2\pi\Phi/\Phi_0$  around the circumference (with flux quanta  $\Phi_0 = h/e$ , where  $h$  is

Planck's constant and  $e$  is the electron charge). Considering both of these effects (the Berry's phase and the AB phase), the one-dimensional subbands<sup>14–19</sup> have the following  $\Phi$ -dependent dispersion (depicted in Fig. 1c):

$$E_l(k_\parallel) = \pm \hbar v_F \sqrt{k_\parallel^2 + k_\perp^2},$$

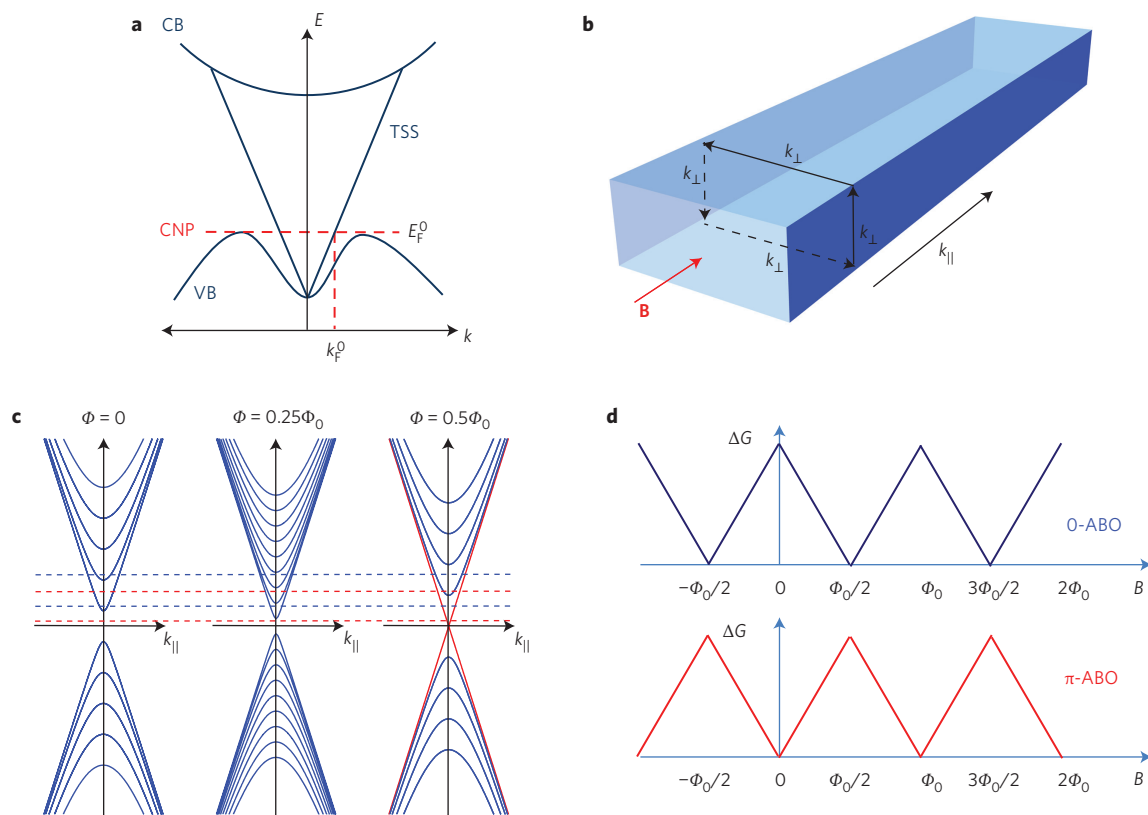
$$\text{with quantized } k_\perp = \Delta k \left( l + 0.5 - \frac{\Phi}{\Phi_0} \right) \quad (1)$$

where  $\hbar$  is the reduced Planck's constant,  $v_F$  is the TSS Fermi velocity,  $\Delta k = 2\pi/C$  and  $l$  ( $0, \pm 1, \pm 2, \dots$ ) is the angular momentum quantum number.

Two particularly interesting cases occur: (1) when  $\Phi$  is an even multiple of  $\Phi_0/2$  (including  $\Phi = 0$ ), the Dirac point of TSS is gapped (due to the Berry's phase, responsible for the 0.5-shift in equation (1) for  $k_\perp$ ) and all subbands are doubly degenerate (with two opposite choices of  $k_\perp$ ); (2) when  $\Phi$  is an odd multiple of  $\Phi_0/2$ , the gap re-closes due to the AB phase cancelling (modulo  $2\pi$ ) the Berry's phase, and a spinless (non-degenerate,  $k_\perp = 0$ ) zero-gap one-dimensional mode<sup>14–19</sup> emerges from the restored Dirac point (DP) (other subbands with non-zero  $k_\perp$  remain gapped and doubly degenerate). An axial  $\Phi$  through the core therefore drives periodic topological transitions in the surface subbands, changing from all being doubly degenerate with a gapped DP at integer  $\Phi_0$  (including zero) to containing a topologically protected, non-degenerate one-dimensional spin helical mode with restored DP at half-integer  $\Phi_0$ . This one-dimensional spin helical mode<sup>20,21</sup> is unique to TINWs (it is absent in carbon nanotubes, for example) and is predicted to be topologically protected (forbidding the one-dimensional

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**Figure 1 | Schematics of the  $\text{Bi}_2\text{Te}_3$  bandstructure, surface state modes and expected magnetoconductance oscillation patterns in TINRs.** **a**, Schematic band diagram of bulk  $\text{Bi}_2\text{Te}_3$ . The bulk conduction band (CB), topological surface states (TSSs) and bulk valence band (VB) are labelled. Note that the DP is buried inside the VB, so only n-type TSSs are accessible inside the bulk bandgap. Horizontal and vertical dashed lines mark the minimum Fermi energy ( $E_F^0$ , at top of VB) and momentum ( $k_F^0$ ) to observe surface-state conduction (conduction by the bulk valence band states would dominate for lower  $E_F$  or  $k_F$ ). The charge neutrality point (CNP) also occurs close to  $E_F^0$ . **b**, Schematic of a TINR, where  $k_{\parallel}$  and  $k_{\perp}$  indicate the TSS momentum parallel and perpendicular to the TINR axis. The applied axial magnetic field  $B$  is depicted by a red arrow. **c**, Schematic of the (circumferentially quantized) TINR surface-state modes or surface subbands (neglecting bulk bands) depicted for three representative axial magnetic fluxes ( $\Phi$ , in units of magnetic flux quanta  $\Phi_0 = h/e$ ) through the NR cross-section. For each  $\Phi$ , multiple surface-state modes (subbands) arise from discretely quantized  $k_{\perp}$  (equation (1)). **d**, Schematic of the expected magnetoconductance ( $\Delta G(B)$ ) oscillation pattern at two different types of  $E_F$  position (exemplified by the blue and red dashed horizontal lines in **c**), giving rise to 0-ABO and  $\pi$ -ABO, respectively.

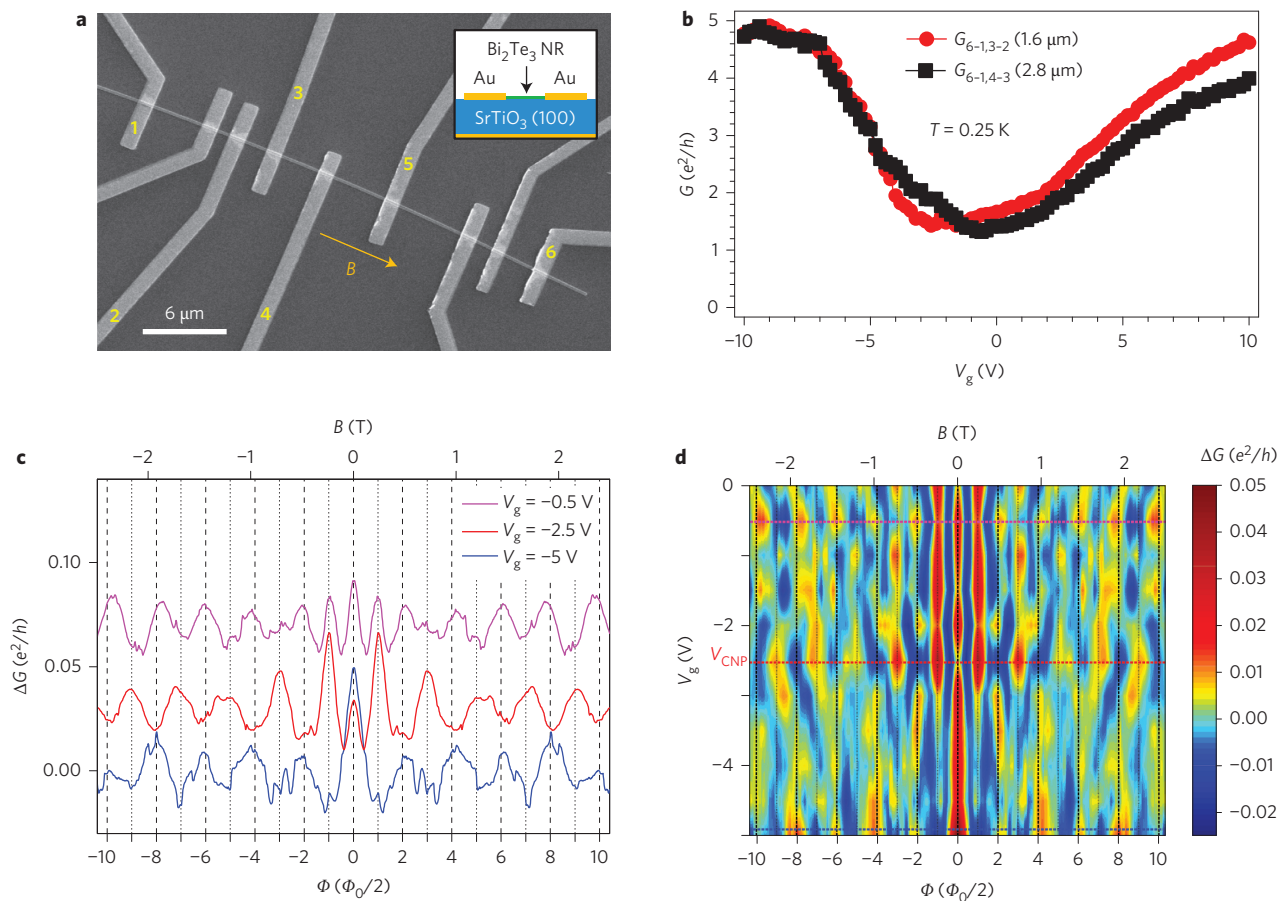
backscattering that reverses  $k_{\parallel}$ ) and to host Majorana fermions when proximity-coupled to ordinary  $s$ -wave superconductors<sup>15,16,22,23</sup>. In both cases, the adjacent subbands (quantized surface modes) are separated by an energy gap (measured at  $k_{\parallel} = 0$ ) of  $\Delta E = \hbar v_F \Delta k$  (see also the schematic Fermi surface presented in Supplementary Fig. 1). As depicted in Fig. 1c,d for ballistic TINWs with the Fermi energy ( $E_F$ ) near the Dirac point (up to  $|E_F| < \Delta E/2$ ), the conductance  $G$  versus  $\Phi$  is dominated by the presence or absence of the helical mode. Therefore,  $G$  versus  $\Phi$  (or  $B$ ) should oscillate with period  $\Phi_0$  and should reach maxima at odd multiples of  $\Phi_0/2$ , known as Aharonov–Bohm oscillations with a phase of  $\pi$  ( $\pi$ -ABO)<sup>14,18</sup>. However, if  $\Delta E/2 < E_F < \Delta E$ , for  $\Phi = \text{even multiples of } \Phi_0/2$  there is a doubly degenerate surface mode, while for  $\Phi = \text{odd multiples of } \Phi_0/2$  there is only a single helical mode.  $G$  versus  $\Phi$  therefore oscillates with period  $\Phi_0$  and has maxima at even multiples of  $\Phi_0/2$ , known as Aharonov–Bohm oscillations with zero phase (0-ABO). If  $\Delta E < E_F < 3\Delta E/2$ ,  $G$  versus  $\Phi$  should exhibit  $\pi$ -ABO again, and so on. Such alternations between  $\pi$ -ABO and 0-ABO would occur periodically in  $E_F$ , with period  $\Delta E$  (Fig. 1c,d)<sup>14,15</sup>. A schematic of the expected  $\Delta G(B)$  (after subtracting an  $E_F$ -dependent background in  $G(B)$ ) for different values of  $E_F$  is presented in Fig. 1d. Previously, ABOs with a period of  $\Phi_0$  and  $G(B)$  maxima at even multiples of  $\Phi_0/2$  (0-ABO) have been measured in TINRs<sup>7,8,10</sup>, and the phase (0) of these ABOs was insensitive to gate voltage<sup>8</sup>  $V_g$ . Theories have suggested<sup>14</sup> disorder, which broadens the surface subbands and makes the number of modes

for even or odd multiples of  $\Phi_0/2$  unidentifiable, as the reason for the ABO phase not being dependent on  $V_g$ .

Here, we demonstrate gate-tunable ABOs with  $k_F$ -periodic phase alternation between 0 and  $\pi$  in quasi-ballistic  $\text{Bi}_2\text{Te}_3$  nanoribbon field-effect devices. The conductance versus gate voltage at  $\Phi = 0$  and  $\Phi_0/2$  also exhibits clear, but anti-correlated  $k_F$ -periodic oscillations, which enable us to extract the minimal Fermi energy and momentum for TSSs to dominate over the contribution of the bulk valence band (BVB). These magnetic and gate-dependent conductance oscillations are consistent with the circumferentially quantized TSSs forming a series of one-dimensional subbands, which undergo periodic magnetic field-induced topological transitions with opening/closing of the Dirac gap, accompanied by the disappearance/appearance of the one-dimensional spin helical mode.

### Samples and field effect

We synthesized single-crystal  $\text{Bi}_2\text{Te}_3$  nanoribbons using a catalyst-free vapour–solid method as described previously<sup>24</sup> and transferred them to 500- $\mu\text{m}$ -thick  $\text{SrTiO}_3$  (STO) substrates as the dielectric for back gating<sup>13,24,25</sup>. We have previously shown that our  $\text{Bi}_2\text{Te}_3$  nanoribbons can be tuned into the bulk-insulating, topological transport regime<sup>24</sup>. All the data presented in the following were measured in a representative device with a nanoribbon width of 150 nm and thickness of 60 nm, as measured by atomic force microscopy. Figure 2a shows a scanning electron microscope (SEM) image of this device



**Figure 2 | Ambipolar field effect, demonstrating quasi-ballistic conduction and gate-tunable 0- and  $\pi$ -ABOs, demonstrating TSS modes in TINRs.**

**a**, Scanning electron microscope image of a 150-nm-wide, 60-nm-thick TINR multi-terminal device on an STO substrate studied in this work. The orange arrow indicates the direction of the applied  $B$  field. Inset: schematic of the device cross-section. **b**, Four-terminal conductance  $G$  versus back-gate voltage  $V_g$ , measured for two different segments of the TINR (between voltage probes 3 and 2, with length 1.6  $\mu\text{m}$ , and between 4 and 3, with length 2.8  $\mu\text{m}$ ). Current  $I = 1$  nA is applied between electrodes 6 and 1 (ground). **c**, Magnetoconductance ( $\Delta G(B)$ , with a smooth background subtracted) in units of  $e^2/h$  versus  $B$  field (top axis, with corresponding magnetic flux  $\Phi$  in units of half-flux-quantum ( $\Phi_0/2 = h/2e$ ) on the bottom axis) at different  $V_g$ . Curves are offset vertically for clarity. **d**, Colour plot of  $\Delta G$  (in units of  $e^2/h$ ) versus  $V_g$  (in 0.5 V steps) and  $B$ . The horizontal colour-coded dashed lines correspond to the same colour-coded  $\Delta G$  in **c**. Vertical dashed/dotted lines in **c** and **d** mark integer/half-integer flux quanta (even/odd multiples of  $\Phi_0/2$ ). Data in **b–d** were measured at  $T = 0.25$  K. The conductance in **c** and **d**, as well as in Figs 3 and 4, was measured between electrodes 3 and 2. Magnetoconductance data in Figs 2 and 3 have been symmetrized between opposite  $B$ -field directions.

with a schematic of its cross-section shown in the inset. Qualitatively similar data have been measured in several other devices.

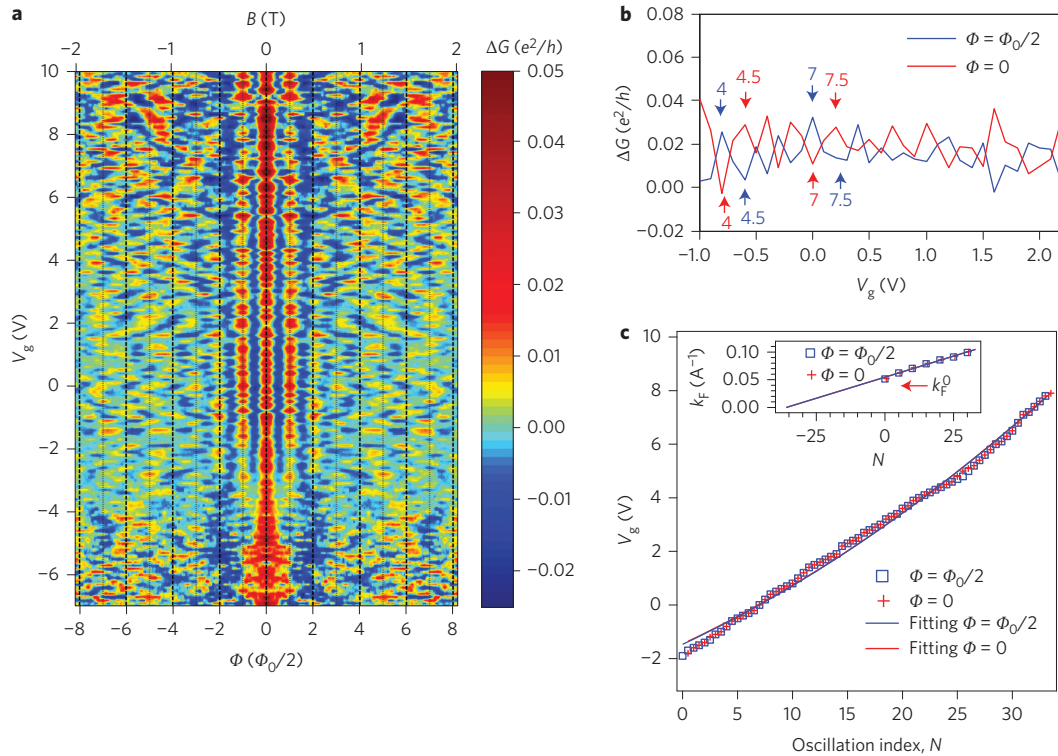
Figure 2b shows the ambipolar field effect in four-probe conductance  $G$  measured with current  $I = 1$  nA at  $T = 0.25$  K for different segments of the TINR depicted in Fig. 2a. Here,  $G_{i-j,k-l}$  denotes the four-probe conductance measured with current leads ( $i,j$ ) and voltage probes ( $k,l$ ). By varying  $V_g$ , carriers are tuned from p-type to n-type, with a minimum of  $G$  for  $V_g \approx -2.5$  V ( $V_{\text{CNP}}$ , the charge neutrality point). The measured  $G$  versus  $V_g$  for two segments of the TINR, with different channel lengths  $L_{\text{ch}} = 1.6$  and 2.8  $\mu\text{m}$  for  $G_{6-1,3-2}$  and  $G_{6-1,4-3}$ , respectively, are similar in magnitude, suggesting a quasi-ballistic transport.

### Aharonov-Bohm and gate-dependent oscillations

Figure 2c depicts the low-temperature ( $T = 0.26$  K) magnetoconductance ( $\Delta G(B) = G(B) - f(B)$ , where  $f(B)$  is a smooth background subtracted from the raw data  $G(B)$ ) versus the  $B$  field (depicted in Fig. 2a as an orange arrow) parallel to the nanoribbon axis, at three representative values of  $V_g$ .  $\Delta G(B)$  oscillates periodically with  $B$ , with a period  $\Delta B = 0.48$  T for all  $V_g$ . The measured  $\Delta B$  agrees with the expected period for an ABO of  $\Phi_0/A = 0.48$  T

(where  $A \approx 9,000$  nm<sup>2</sup> is the measured TINR cross-sectional area). At  $V_g = -5$  V  $< V_{\text{CNP}}$  ( $E_F < E_F^0$ , inside the bulk valence band, where  $E_F^0$  is the energy of the top of the bulk valence band), we observe  $\Delta G(B)$  maxima at even multiples of  $\Phi_0/2$  (integer flux quanta) and minima at odd multiple of  $\Phi_0/2$ , as previously observed (0-ABO)<sup>7,8</sup>. We have not observed  $\pi$ -ABO for  $V_g < V_{\text{CNP}}$ , possibly due to the strong contribution from the bulk valence band, which is expected to dominate the conduction for  $E_F < E_F^0$  as depicted in the band structure of Bi<sub>2</sub>Te<sub>3</sub> (Fig. 1a). As we increase  $V_g$  and thus raise  $E_F$  into the TSS, another type of ABO becomes observable. For example, for  $V_g \sim V_{\text{CNP}} \approx -2.5$  V ( $E_F \sim E_F^0$ ), we observe ABO with  $\Delta G(B)$  maxima at odd multiples of  $\Phi_0/2$  (half-integer flux quanta) and minima at even multiples of  $\Phi_0/2$  (except at  $\Phi = 0$ ), in agreement with the predicted  $\pi$ -ABO (see Supplementary Fig. 6, for an explanation of how we distinguish between  $\pi$ -ABO, 0-ABO and the Altshuler–Aronov–Spivak oscillations (AAS)). The small peak at  $B = 0$  can be attributed to weak anti-localization<sup>9,24,26–28</sup> (WAL), which probably also occurs and contributes to the peak at  $B = 0$  observed for other values of  $V_g$ . At  $V_g = -0.5$  V, we observe  $\Delta G$  peaks primarily at even multiples of  $\Phi_0/2$ , but also a few peaks (especially at  $\Phi_0/2$ ) at odd





**Figure 3 | Analysis of quantized TSS subbands in gate-dependent conductance oscillations and 0- and  $\pi$ -ABO alternations.** **a**, Colour plot of  $\Delta G$  versus  $V_g$  and  $\Phi$ . Vertical dashed lines represent integer flux quanta ( $\Phi =$  even multiples of  $\Phi_0/2$ ), and vertical dotted lines represent half-integer flux quanta ( $\Phi =$  odd multiples of  $\Phi_0/2$ ). A zoomed-in view is shown in Supplementary Fig. 2. **b**,  $\Delta G$  versus  $V_g$  for  $\Phi = 0$  and  $\Phi_0/2$ , plotted from the corresponding vertical cuts in **a**. Peaks/dips (dips/peaks) in the  $\Delta G(V_g)$  curve at  $\Phi = \Phi_0/2$  ( $\Phi = 0$ ) are assigned numbers (see text for details) starting from  $N = 0$  at  $V_g = V_0 = -1.9$  V (top of the BVB). **c**,  $V_g$  of the  $\Delta G$  peaks/dips versus  $N$  for  $\Phi = 0$  and  $\Phi_0/2$ . Solid curves are fittings of  $V_g$  versus  $N$  using equation (2). Inset: extracted Fermi momentum ( $k_F$ ) versus  $N$  for  $\Phi = 0$  and  $\Phi_0/2$  (only selected data points with  $N$  in increments of five are shown for clarity). The solid line is a linear fit. The extracted  $k_F$  for  $N = 0$  ( $k_F^0 \approx 0.05 \text{ \AA}^{-1}$ ) is in reasonable agreement with the minimal momentum (depicted in Fig. 1a) for TSS to appear above the top of the BVB measured by ARPES<sup>4</sup> in  $\text{Bi}_2\text{Te}_3$ .  $T = 0.25$  K for all measurements in **a–c**.

multiples of  $\Phi_0/2$ , suggesting competition between 0-ABO (more dominant at this  $V_g$ ) and  $\pi$ -ABO.

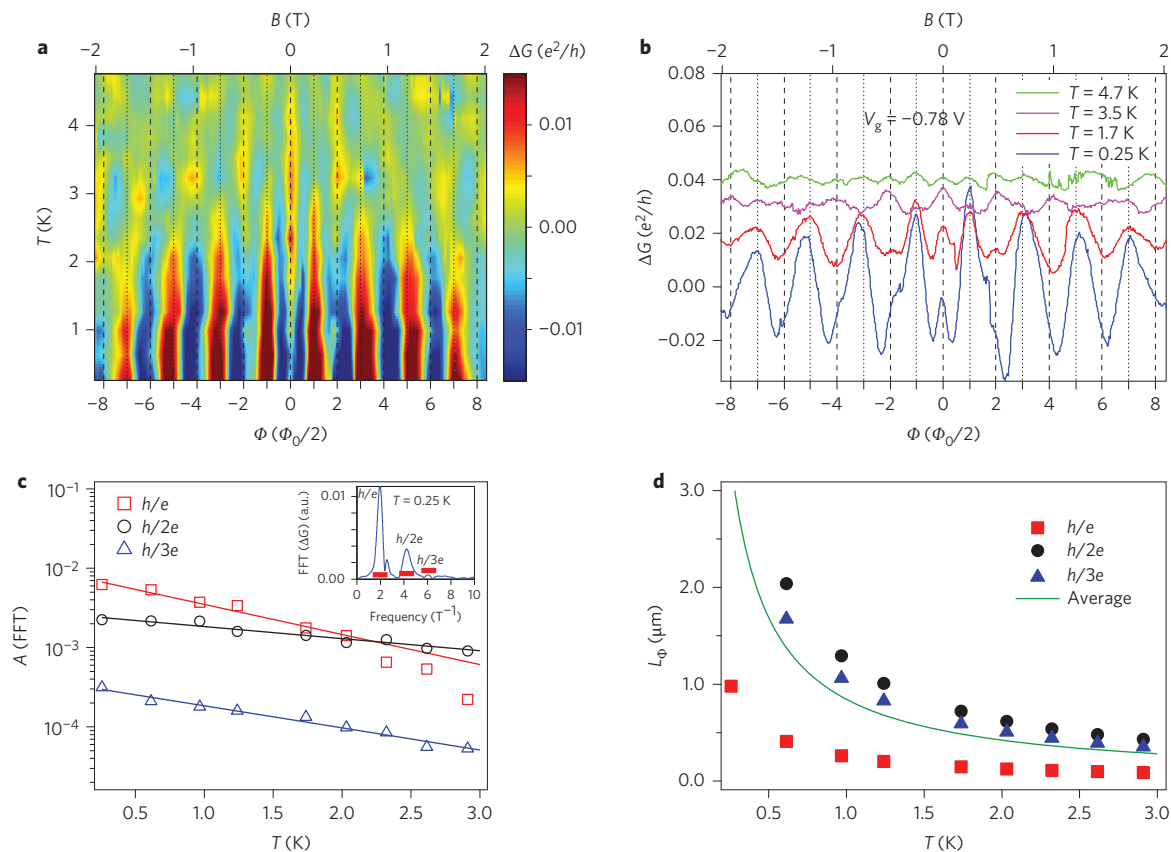
Figure 2d presents a colour plot of  $\Delta G$  versus the parallel  $B$  field and  $V_g$  at  $T = 0.25$  K. For  $-2.5 \text{ V} < V_g < 0 \text{ V}$  we observe that  $\Delta G$  has maxima at alternate values of even or odd integers of  $\Phi_0/2$ . Such an alternation is particularly notable between the two more prominent peaks at  $\Phi = 0$  and  $\Phi = \Phi_0/2$ . However, for  $V_g < -2.5$  V (below CNP), the  $\Delta G$  peak at  $\Phi = \Phi_0/2$  vanishes, and the peak at  $\Phi = 0$  becomes very prominent. The  $\Delta G$  peak at  $\Phi = \Phi_0/2$  is the first oscillation from the  $\pi$ -ABO and is the strongest as it may be less affected by the Zeeman energy than the higher odd multiples of  $\Phi_0/2$  (which can be more easily de-phased at higher  $B$  field in spin-orbit-coupled systems<sup>29–31</sup>). Also, this  $\Delta G$  peak at  $\Phi = \Phi_0/2$  (unique to TSS<sup>20,21</sup>) vanishing for  $V_g < V_{\text{CNP}} \approx -2.5$  V is consistent with the  $\text{Bi}_2\text{Te}_3$  band structure<sup>4</sup>, where the DP of TSS is buried inside the BVB, and the BVB will dominate the conduction below the CNP (close to the top of the BVB).

Figure 3a presents a higher-resolution colour map of  $\Delta G$  versus the parallel  $B$  field and  $V_g$  ( $B$ -field step = 4 mT,  $V_g$  step = 100 mV) for  $-7 \text{ V} < V_g < +10 \text{ V}$  (see also Supplementary Fig. 2 for a zoomed-in view over a smaller range of  $B$  and  $V$ ), where the gate-induced alternation between 0-ABO and  $\pi$ -ABO is again observed (for  $V_g$  down to  $V_0 \approx -1.9$  V, slightly above  $V_{\text{CNP}}$  in this measurement). Figure 3b shows a plot of  $\Delta G$  versus  $V_g$  (vertical cuts from Fig. 3a) for  $\Phi = 0$  and  $\Phi_0/2$  plotted over a relatively small  $V_g$  range for clarity. Both  $\Delta G(V_g)$  curves exhibit clear oscillations, but the two sets of oscillations are  $\sim 180^\circ$  out of phase, where the maxima (minima) in one curve tend to occur at the minima (maxima) of the other curve. We label each  $\Delta G$  peak (dip) in the data measured at  $\Phi = \Phi_0/2$  ( $\Phi = 0$ ) with an integer oscillation index ( $N$ , increasing

with increasing  $V_g$ ), starting with  $N = 0$  (first  $\Delta G$  peak (dip)) at  $V_g = V_0$  (below  $V_0$ , neither out-of-phase oscillations between the two  $\Delta G(V_g)$  curves nor alternations between  $\pi$ -ABO and 0-ABO are evident), while we label each  $\Delta G$  dip for  $\Phi = \Phi_0/2$  (peak for  $\Phi = 0$ ) as  $N + 1/2$  (examples in Fig. 3b). For  $V_g > V_0$  (note that  $V_0$  is associated with the  $V_g$  required to reach the top of the BVB, labelled in Fig. 1a as  $E_F^0$ , above which the surface-state conduction dominates), we can relate  $V_g$  with  $k_F$  of the TSS using

$$n_s = C_{\text{ox}}(V_g - V_0)/e = ((k_F)^2 - (k_F^0)^2)/4\pi \quad (2)$$

where  $n_s$  is the surface carrier density,  $C_{\text{ox}}$  is the STO capacitance and  $k_F^0$  is the  $k_F$  at the top of the BVB (note that with a relatively large number of transverse  $k_\perp$  modes occupied in our samples for  $V_g > V_0$ , the standard results for two-dimensional spin-helical fermions can be used to relate the gate-induced TSS carrier density with  $k_F$ ; for more discussions see Supplementary Fig. 1). The observed  $V_g$  versus  $N$  can be well fitted (solid curves in Fig. 3c) to equation (2) (assuming  $k_F = k_F^0 + N\Delta k$ , where  $\Delta k = 2\pi/C = 0.0015 \text{ \AA}^{-1}$  represents the quantized momentum encircling the TINR with  $C = 420 \text{ nm}$ , the TINR circumference), from which we obtain  $C_{\text{ox}} \approx 100 \text{ nF cm}^{-2}$  and  $k_F^0 \approx 0.05 \text{ \AA}^{-1}$ . We have also checked that the introduction of an uncertainty as large as  $\pm 0.5 \text{ V}$  in the choice of the  $N = 0$  peak does not significantly change the extracted  $C_{\text{ox}}$  and  $k_F^0$ . The extracted  $C_{\text{ox}}$  is within the range of the STO capacitance measured at low temperatures in other nanodevices<sup>13,24,33</sup>. We plot the extracted  $k_F = (4\pi C_{\text{ox}}/e)(V_g - V_0) + (k_F^0)^2)^{1/2}$  versus  $N$  in the inset of Fig. 3c to demonstrate that the oscillations (indexed by  $N$ )



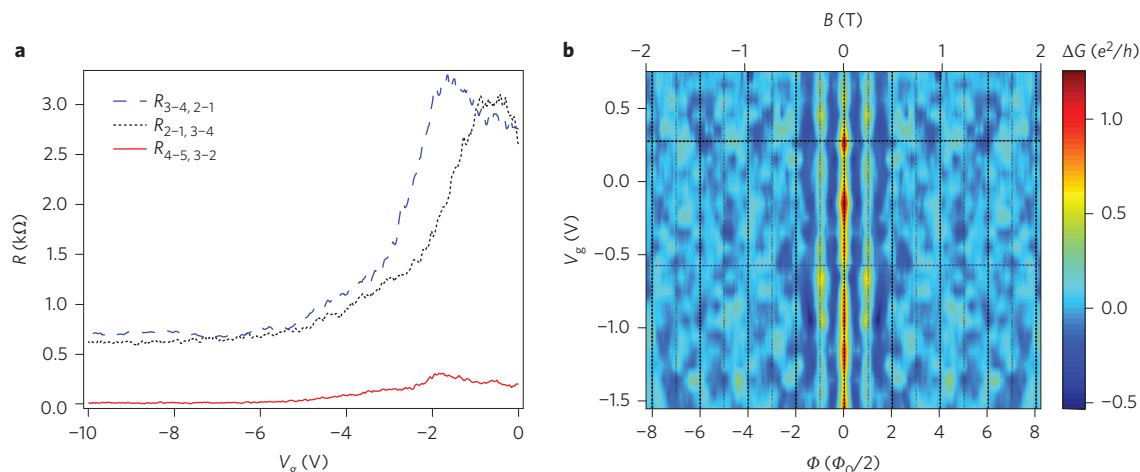
**Figure 4 | Temperature dependence of the AB oscillations in TINRs, confirming quasi-ballistic transport.** **a**, Colour plot of  $\Delta G$  versus  $T$  and  $\Phi$  for  $V_g = -0.78$  V. **b**,  $\Delta G$  versus  $\Phi$  at different  $T$ . For **a** and **b**, vertical dashed lines represent  $\Phi = \text{even multiples of } \Phi_0/2$  (integer flux quanta), while dotted lines represent  $\Phi = \text{odd multiples of } \Phi_0/2$  (half-integer flux quanta). Traces are offset vertically for clarity. **c**, Temperature-dependent amplitude of the FFT ( $A(\text{FFT})$ ), in log scale) corresponding to the  $h/e$ ,  $h/2e$  and  $h/3e$  peaks (inset) at  $V_g = -0.78$  V. Solid lines are exponential fits. Inset: fast Fourier transform (FFT) of  $\Delta G$  versus  $B$  at  $T = 0.25$  K. The peak amplitude is defined as the integrated area over the corresponding interval represented by the red horizontal line segment. **d**, Temperature dependence of the phase coherence length  $L_\phi$ , extracted from FFT peaks. An average of the three data sets is shown as a solid line.

are periodic in  $k_F$ . The  $k_F^0 \approx 0.05 \text{ \AA}^{-1}$  agrees with the minimum TSS momentum for the energy to exceed the top of the BVB (depicted in Fig. 1a), as measured by ARPES<sup>3,4</sup> on bulk  $\text{Bi}_2\text{Te}_3$ . For  $k_F < k_F^0$ , conduction is dominated by the BVB states and neither the  $\pi$ -ABO nor  $\Delta k$ -periodic  $\Delta G$  ( $V_g$ ) oscillations due to TSS subbands can be observed. Also, assuming a linear  $E_F$  versus  $k_F$  dispersion, we extract  $E_F^0 = \hbar v_F k_F^0 \approx 133 \text{ meV}$  with  $v_F = 4.1 \times 10^5 \text{ m s}^{-1}$ , in excellent agreement with the ARPES-measured energy separation between the BVB top and the Dirac point, called  $E_3$  in ref. 4. Therefore, the observed  $\pi$ -ABO and the gate-tunable phase (0 or  $\pi$ ) in our TINRs are strong transport experimental evidence of the TSS and the formation of the one-dimensional helical mode in TINRs. The measured amplitudes of the four-terminal conductance ( $G$  of a few  $e^2/h$ ) and of the ABO ( $\Delta G < \sim 0.1 e^2/h$ ) are similar to previously reported values<sup>20,21</sup>, and notably smaller than those expected for fully ballistic two-terminal conductance measured with transparent contacts ( $G_2 \approx 40 e^2/h$  with  $\Delta G_2 \approx 1 e^2/h$  for  $\sim 40$  subbands in our samples, modulated by  $+1/-1 e^2/h$  with the appearance/disappearance of the helical mode). It has been suggested that the measured amplitudes ( $G$  and  $\Delta G$ ) are not only dependent on subband occupation, but can also be strongly influenced by various other factors such as disorder (which can reduce these amplitudes)<sup>14,34</sup> and invasive contacts<sup>32,35,36</sup> (whose reflection and transmission coefficients enter the four-terminal  $G$ ).

#### Quasi-ballistic transport from the temperature dependence

From previous studies in metallic and semiconducting rings<sup>37</sup>, the  $T$  dependence of the ABO is known to exhibit different behaviour in diffusive and ballistic regimes. The amplitude of ABO in diffusive

TINRs shows a  $\sim T^{-1/2}$  dependence<sup>7,8</sup>, while in ballistic  $\text{Bi}_2\text{Se}_3$  TINRs the amplitude has been found to decay exponentially<sup>10</sup> with  $T$ . Figure 4a presents a colour plot of  $\Delta G$  versus parallel  $B$  field for  $0.25 \text{ K} < T < 5 \text{ K}$  at  $V_g = -0.78 \text{ V}$ . We observe that the ABO amplitude decreases and the phase changes with increasing temperature. Figure 4b shows horizontal cuts ( $\Delta G$  versus  $B$ ) of Fig. 4a at a few representative values of  $T$ , where the change of phase is clearly observed (from  $\pi$ -ABO to 0-ABO). For example, at  $T = 0.25 \text{ K}$ , the  $\pi$ -ABO is dominant, while at  $T \approx 3.5 \text{ K}$  the oscillations are 0-ABO. On the other hand, for  $V_g = -1.2 \text{ V}$  (Supplementary Fig. 3), 0-ABOs are dominant at  $T = 0.3 \text{ K}$ , while oscillations contain more  $\pi$ -ABOs ( $\Delta G$  peaks mainly at odd multiples of  $\Phi_0/2$ ) at  $T \approx 2.3 \text{ K}$ . This change of phase with  $T$  may be related to a similar mechanism such as dephasing by disorder<sup>14,18</sup>. To analyse the  $T$  dependence of ABOs, we computed the fast Fourier transform (FFT, the absolute value; an example for  $T = 0.25 \text{ K}$  is shown in the inset of Fig. 4c) of the  $\Delta G$  versus  $B$  data for different  $T$ . In the FFT we observe clear peaks at frequencies corresponding to periods  $h/e$ ,  $h/2e$  and  $h/3e$  in the magnet flux. The  $n$ th harmonic of the fundamental period ( $h/e$ ) is from electrons that travel around the circumference of the TINR  $n$  times<sup>37</sup>. The probability of an electron enclosing the TINR circumference multiple times decreases with  $n$ , so the FFT amplitudes drop with increasing  $n$ . The  $T$ -dependent amplitudes, obtained by integration of  $h/e$ ,  $h/2e$  and  $h/3e$  FFT peaks over intervals indicated by red horizontal lines in the inset of Fig. 4c,  $A(\text{FFT})$ , are plotted in Fig. 4c. These amplitudes are found to decrease exponentially with increasing temperature ( $A(\text{FFT}) \sim e^{-b_n T}$ ), as previously reported for rings made from



**Figure 5 | Non-local transport in TINRs.** Field-effect and gate-tunable magnetoconductance oscillations. **a**, Field effect of a non-local resistance  $R$  is measured with different sets of electrodes (that is,  $R_{2-1,3-4}$  with current leads 2-1 and voltage probes 3-4, see device image in Fig. 2a). **b**, Colour plot of non-local  $\Delta G_{2-1,3-4}$  versus  $V_g$  and  $\Phi$ . Vertical dashed lines represent integer flux quanta and dotted lines half-integer flux quanta. Horizontal dashed dotted lines are cuts at two representative values of  $V_g$  (Supplementary Fig. 5). Data are measured at  $T = 0.25$  K with  $I = 1$  nA.

ballistic two-dimensional electron gases (2DEGs)<sup>37</sup> and TINRs<sup>10</sup>. While theories have predicted the decay rates  $b_n = nC/(2TL_\phi)$ , where  $L_\phi$  is the phase coherence length, previous experiments in quasi-ballistic devices have shown that  $b_n$  is not always linearly dependent on  $n$  and the deviation was attributed to thermal averaging<sup>10,37</sup>. In our devices, we found both types of behaviour, depending on the value of  $V_g$  (for example,  $b_n$  is not proportional to  $n$  at  $V_g = -0.78$  V, but  $b_n \propto n$  at  $V_g = -1.2$  V, as shown in Supplementary Fig. 3). Hence, we calculate  $L_\phi$  from each  $n$ th harmonic as  $L_\phi = nC/(2Tb_n)$ , shown in Fig. 4d, where the average  $L_\phi$  is also plotted. The extracted  $L_\phi$  for each  $n$ th harmonic of  $h/e$  (and their average) shows a similar  $T$  dependence ( $L_\phi \approx T^{-1}$ ), with an average  $L_\phi = 3$   $\mu\text{m}$  at  $T \approx 0.25$  K. The same inverse  $T$  dependence was measured at  $V_g = -1.2$  V (Supplementary Fig. 3). It is expected that in the ballistic regime<sup>37</sup>, where fermions are weakly coupled to the environment, decoherence is dominated by fluctuations of the environment, resulting in an  $L_\phi \sim T^{-1}$  dependence. In contrast, previous measurements on more disordered diffusive TINWs or NRs<sup>7,26–28</sup> and 2DEG rings<sup>38–40</sup> have shown an  $L_\phi \sim T^{-a}$  dependence, with  $a \approx 0.4–0.5$ . Obtaining such large  $L_\phi \approx 3$   $\mu\text{m}$  (comparable to or larger than the channel length) at low  $T$  and a distinctive exponential  $T$  dependence of the amplitude of ABOs (in contrast to previously studied more disordered and diffusive TINRs<sup>7,8,24</sup>, where  $A$  (FFT)  $\sim T^{-1/2}$ ) are strong signatures of quasi-ballistic transport in our TINRs. The measured  $L_\phi \approx 3$   $\mu\text{m}$  at low  $T$  is also consistent with the fact that we can observe ABOs from  $\Delta G_{6-1,4-3}$  with  $L_{\text{ch}} \approx 3$   $\mu\text{m}$  (Supplementary Fig. 4).

### Non-local AB oscillations

Previous experiments in 2DEG rings have shown that the ABO phase could be shifted with  $V_g$  when only one arm of the ring is gated (such asymmetric gating induces a potential difference between two arms)<sup>36</sup>. Although the Onsager principle could cause only discrete phases ( $0$  or  $\pi$ ) to be seen in ‘local’ electrical measurements (as in our experiments,  $G_{6-1,3-2}$  or  $G_{6-1,4-3}$ ) under this scenario, when non-local electrical measurements were performed, a continuous change of ABO phase could be observed<sup>36,41,42</sup>. We performed non-local electrical measurements in our TINRs (the device depicted in Fig. 2a). Figure 5a displays the non-local resistance  $R_{2-1,3-4}$  and  $R_{3-4,2-1}$  versus  $V_g$  at  $T = 0.25$  K, where an ambipolar field effect is also observed, with significant values ( $\sim 3$  k $\Omega$ ) of non-local resistances at CNP ( $V_g \approx -2$  V). The two non-local resistances  $R_{2-1,3-4}$  and  $R_{3-4,2-1}$  are similar in magnitude, with a separation between the current and

voltage probes of  $L_{\text{ch}} = L_{2-3} = 1.6$   $\mu\text{m}$ . However, the non-local resistance  $R_{4-5,3-2}$ , with  $L_{\text{ch}} = L_{3-4} = 2.8$   $\mu\text{m}$ , is considerably reduced compared to  $R_{2-1,3-4}$ . Such a large reduction (by a factor of more than 10) of non-local resistance when  $L_{\text{ch}}$  is increased only by a factor of approximately two may be related to quasi-ballistic transport. Figure 5b presents a colour plot of non-local  $\Delta G_{2-1,3-4}$  versus the parallel  $B$  field and  $V_g$  at  $T = 0.25$  K (horizontal colour-coded dashed lines correspond to  $\Delta G_{2-1,3-4}$  versus the parallel  $B$  field at representative values of  $V_g$  as shown in Supplementary Fig. 5). The period of non-local ABO oscillations  $\Delta B \approx 0.48$  T is consistent with the TINR cross-sectional area and the period observed in local measurements (Fig. 2). The amplitude of the ABO ( $\Delta G$ ) measured in the non-local configuration ( $\sim 1$   $e^2/h$ ) is about one order of magnitude larger than that of the ABO measured in the local configuration ( $\sim 0.1$   $e^2/h$ ), and is the largest ABO amplitude reported in TIs so far. A similar enhancement of the ABO amplitude was previously measured in 2DEG rings using a non-local configuration<sup>36</sup>. Most importantly, at different values of  $V_g$ , only discrete ABO phases ( $0$  or  $\pi$  only) are measured in our TINRs in the non-local configuration, contrary to a continuous change of the ABO phase measured in asymmetrically gated 2DEG rings. We can therefore rule out asymmetric gating as being responsible for the discrete change in the ABO phase (between  $0$  or  $\pi$ ) at different  $V_g$  in our TINRs, as observed in both local and non-local configurations.

### Conclusions

We have demonstrated quasi-ballistic transport in TINRs from the channel length ( $L_{\text{ch}}$ )-insensitive conductance and field effect and the exponentially decaying  $T$  dependence of the ABO amplitude. In both local and non-local magnetoconductance measurements we observed  $\pi$ -ABOs and  $0$ -ABOs alternating periodically with  $k_F$  (tuned by  $V_g$ , with period  $\Delta k = 2\pi/C$ , where  $C$  is the nanoribbon circumference). The conductance oscillations in  $V_g$  (periodic in  $k_F$  and observed even at  $B = 0$ ) reveal quantized surface-state modes. From the data we extracted the minimal  $k_F$  for the Fermi energy to cross only TSSs and found good agreement with ARPES results. These observations are consistent with a periodic modulation (and topological transitions) of surface subbands by an axial  $\Phi$  through the TINR core, particularly the existence of the topologically protected one-dimensional helical mode for  $\Phi = \text{odd multiple of } \Phi_0/2$ . Such a helical mode in TINRs may be important for the development of topologically protected electronic or spintronic devices and for hosting Majorana fermions.



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## Author contributions

L.A.J. designed and fabricated the devices and carried out the measurements and data analysis. M.T.P. and L.S. synthesized the Bi<sub>2</sub>Te<sub>3</sub> nanoribbons and performed structural analysis. L.P.R. helped with the low-temperature transport experiment. Y.P.C. supervised the research. L.A.J. and Y.P.C. wrote the paper with contributions from all co-authors.

## Additional information

Supplementary information is available in the [online version](#) of the paper. Reprints and permissions information is available online at [www.nature.com/reprints](http://www.nature.com/reprints). Correspondence and requests for materials should be addressed to Y.P.C.

## Competing financial interests

The authors declare no competing financial interests.

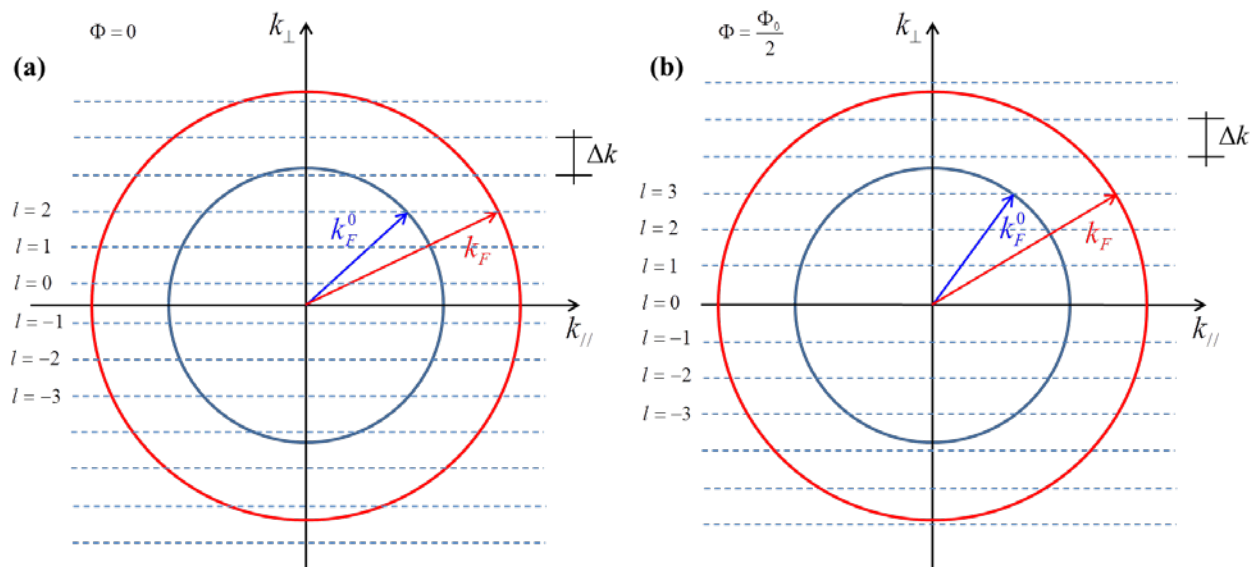
## Magnetic field-induced helical mode and topological transitions in a topological insulator nanoribbon

Luis A. Jauregui, Michael T. Pettes, Leonid P. Rokhinson, Li Shi, Yong P. Chen

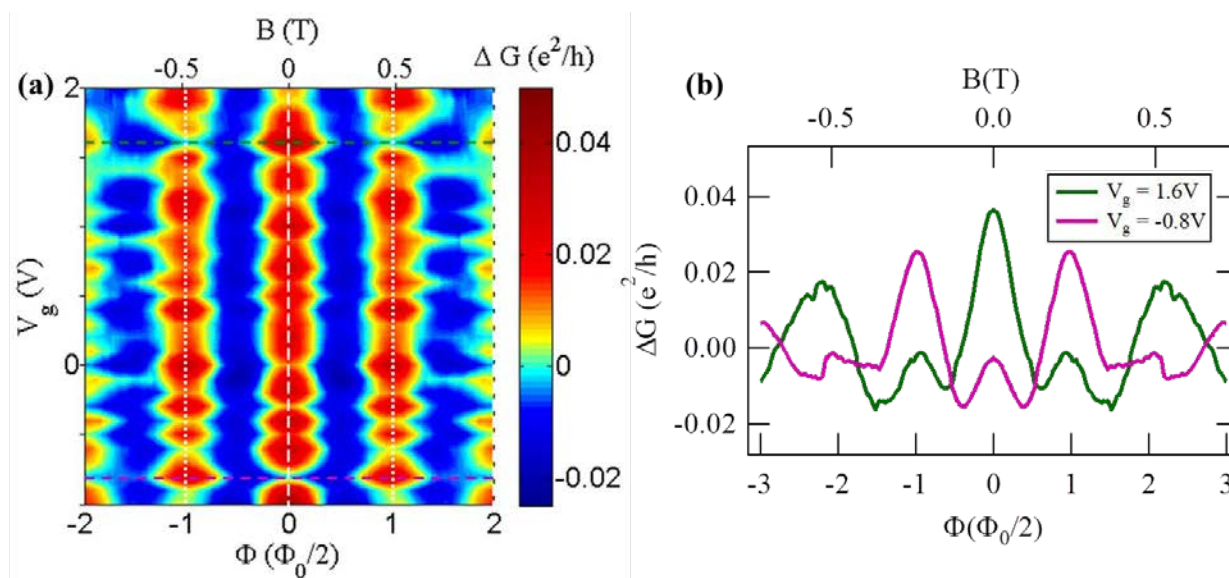
The main text Fig. 1b shows the two principal momentum directions in a TINR,  $k_{\parallel}$  and  $k_{\perp}$ , which correspond to momentum parallel and perpendicular to the TINR length respectively. Because of the small NR circumference ( $C$ ),  $k_{\perp}$  is quantized to only take discrete values, with period  $\Delta k = 2\pi/C$  ( $= 0.0015\text{\AA}^{-1}$  for our device). Furthermore, as pointed out in equation 1, in the presence of a magnetic flux ( $\Phi$ ),  $k_{\perp} = \Delta k \left( l + 0.5 - \frac{\Phi}{\Phi_0} \right)$ , where  $l$  (angular momentum quantum number) takes only integer values.

The Fermi momentum ( $k_F$ ) is the largest occupied momentum  $k = \sqrt{k_{\parallel}^2 + k_{\perp}^2}$ , as shown in Fig. S1. The  $k_F$  for the CNP is also depicted in Fig. S1 as  $k_F^0$ . Note whenever  $k_F$  increments by  $\Delta k$ , the intercept of the Fermi surface (FS) on the  $k_{\perp}$  axis would also increment by  $\Delta k$ , two new  $k_{\perp}$  modes would become occupied (two new horizontal dashed lines intercept with the FS). Therefore we expect  $\Delta G(k_F)$  to oscillate with period  $\Delta k_F = \Delta k$ . If we assign the first peak to oscillation index  $N = 0$ , the rest of peaks would occur at  $k_F = k_F^0 + N\Delta k$  ( $N = 1, 2, \dots$ ). When the number of occupied  $k_{\perp}$  modes is large (as in our TINRs, where even at CNP there are already  $>30$  modes occupied as seen in Fig. 3c inset, note Fig. S1 here only depicts a few modes for clarity),  $k_F$  can be approximately related with the 2D carrier density ( $n_{2D}$ ) from the standard relation (for single spin Dirac fermion) as  $n_{2D} = \frac{k_F^2}{4\pi}$ .



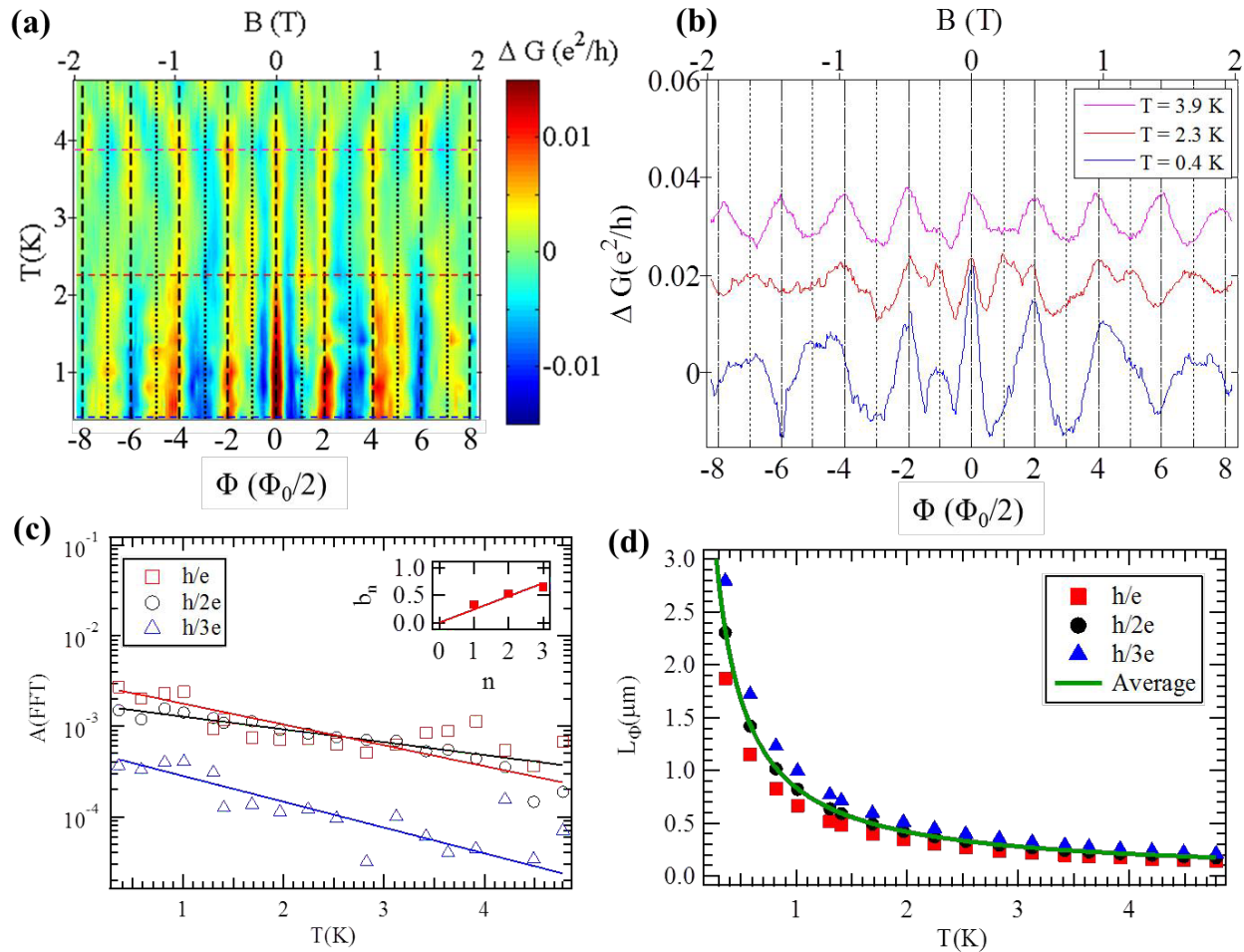


Supplemental Figure S1. **Schematic of Fermi momentum in TINRs.** (a), (b) Fermi surface (circle), corresponding Fermi momentum ( $k_F$ , radius of circle), and formation of sub-bands (a series of E-  $k_{\parallel}$  dispersions (1D bands) indexed by discretely quantized  $k_{\perp}$  as depicted in Fig. 1c, these surface (1D) sub-bands are also referred to as (circumferentially) quantized surface state modes) for the surface state in TINRs for two representative  $\Phi$ 's,  $\Phi = 0$  and  $\Phi = \Phi_0/2$  respectively. The  $k_{\parallel}$  and  $k_{\perp}$  are two surface momentum components parallel and perpendicular to the TINR axis (Fig. 1b). Horizontal dashed lines represent allowed discrete  $k_{\perp}$ , labeled with angular momentum quantum number ( $l$ ), and spaced by  $\Delta k = 2\pi / C$ , where  $C$  is the TINR circumference. The red circle ( $k_F$ ) is a representative Fermi surface (FS) at a finite n-type TSS carrier density, and the blue circle ( $k_F^0$ ) is the FS at the charge neutrality point (CNP, for  $k < k_F^0$  the TSS has energy lower than the top of BVB of  $\text{Bi}_2\text{Te}_3$  thus not accessible in transport).



Supplemental Figure S2. **Gate ( $V_g$ ) and parallel magnetic field ( $B$ ) dependent conductance ( $\Delta G$ ) and the  $0/\pi$ -ABO alternations with  $V_g$ .** (a) A zoomed-in view of Figure 3a showing color plot of  $\Delta G$  (in units of  $e^2/h$ ) vs.  $V_g$  (in 0.1V step, from -1V to 2V) and  $B$  (top axis, corresponding magnetic flux  $\Phi$  in bottom axis, from  $-\Phi_0$  to  $\Phi_0$ ) measured at  $T = 0.25K$ . The vertical dotted lines represent half-integer flux quanta ( $\Phi = \pm \Phi_0/2$ ) and the vertical dashed line represent  $\Phi = 0$ . Horizontal dashed lines are cuts at 2 representative  $V_g$ 's, shown in Fig. S2b. (b) Magnetoconductance ( $\Delta G(B)$ , with a smooth background subtracted), in units of  $e^2/h$ , vs.  $B$ -field (top axis, with corresponding magnetic flux  $\Phi$  in units of half-flux-quantum ( $\Phi_0/2 = h/2e$ ) in bottom axis) at two representative  $V_g$ 's (horizontal cuts in Fig. 3a). The  $V_g = -0.8V$  trace shows predominantly  $\pi$ -ABO while the  $V_g = 1.6V$  trace shows predominantly 0-ABO. Magnetoconductance data have been symmetrized between opposite  $B$  field directions.

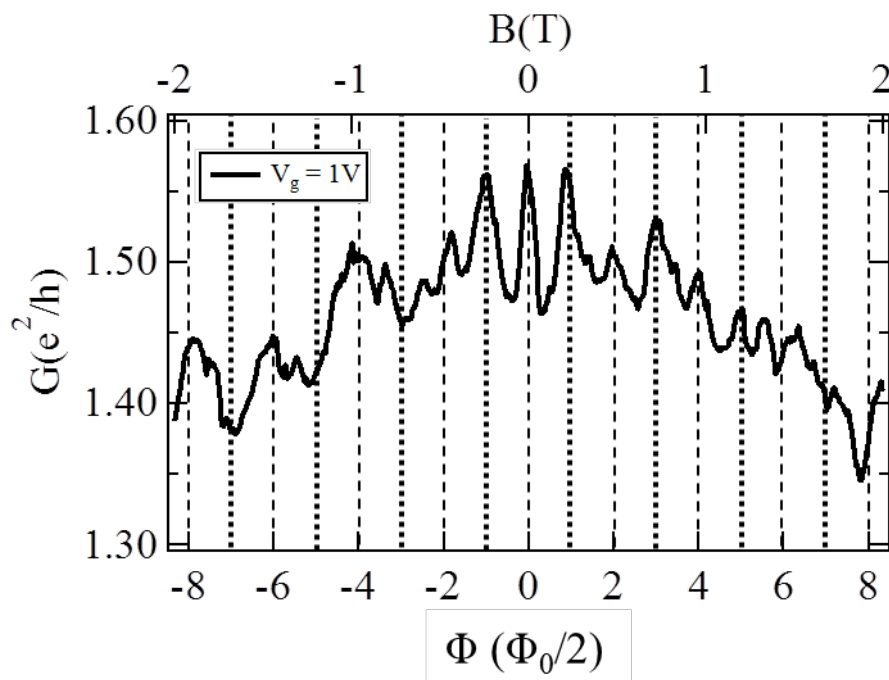
Figure S3a shows the temperature dependence of the  $\Delta G_{6-1, 3-2}(B)$  vs. parallel  $B$ -field at  $V_g = -1.2V$ . Figure S3b shows the horizontal cuts from Fig. S3a (color-coded dashed lines). The  $\Delta G$  vs. parallel  $B$  at  $T = 0.3K$  (Fig. S3b) shows a predominant 0-ABO ( $\Delta G$  peaks at even multiples of  $\Phi_0/2$ ), however for  $T \sim 2.3K$  the  $\pi$ -ABO ( $\Delta G$  peaks at odd multiples of  $\Phi_0/2$ ) become more notable. For  $T \sim 4K$ ,  $\Delta G$  vs.  $B$  becomes predominantly 0-ABO again. Such a change in the phase of the ABO may be attributed to a temperature induced change of disorder potential in TINRs. Figures S3c and S3d present temperature dependence of the amplitude of FFT of ABO, and extraction of phase coherence length  $L_\phi$ .



Supplemental Figure S3. **Temperature dependence of the Aharonov-Bohm oscillations amplitude.** (a) Color plot of  $\Delta G$  vs.  $B$  (top axis, with corresponding  $\Phi$  on bottom axis) and  $T$  at  $V_g = -1.2$  V. Vertical dashed/dotted lines correspond to integer/half-integer flux quanta ( $\Phi_0$ ) or even/odd multiples of  $\Phi_0/2$ . (b)  $\Delta G(B)$  at representative  $T$ 's (horizontal cuts, represented in (a) as color-coded dashed lines). Curves are vertically offset for clarity. (c) Temperature dependence of the amplitudes of the FFT peaks ( $A(\text{FFT})$ , in log scale) for the 3 corresponding periods ( $h/e$ ,  $h/2e$  and  $h/3e$ ). Solid lines are exponential fits. Inset: decay rates ( $b_n$ ) vs.  $n$  ( $n^{\text{th}}$  harmonic). (d) Temperature-dependent phase coherence length ( $L_\phi$ ) extracted from each FFT peak ( $h/e$ ,  $h/2e$ ,  $h/3e$ , plotted as the data in square, circle and triangles respectively, with the average between the 3 data sets shown as solid line).

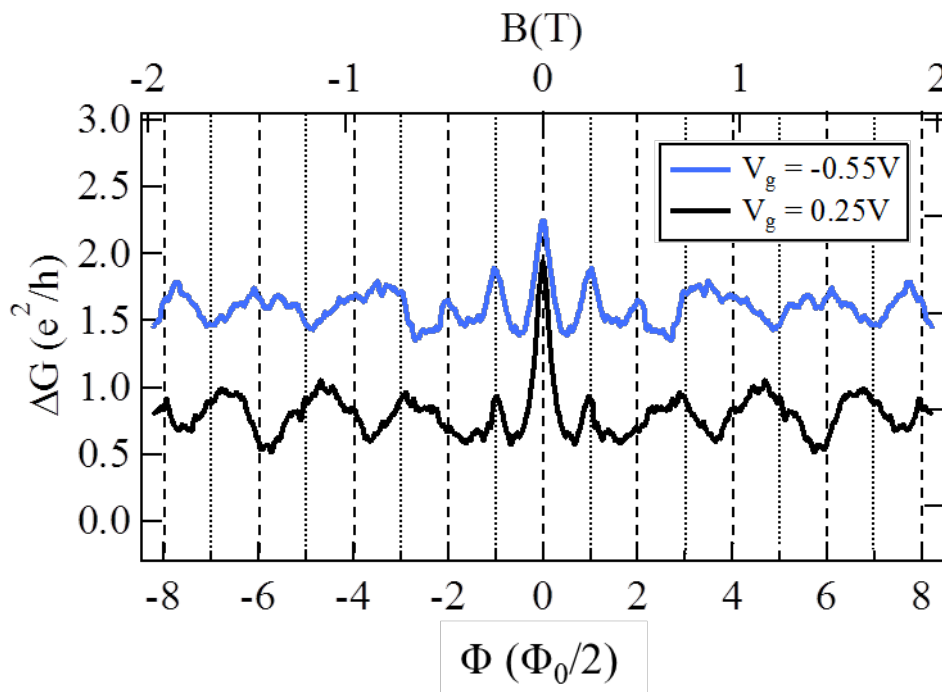
Figure S4 shows the 4-probes magneto-conductance  $G_{6-1, 4-3}(B)$  vs. parallel B-field at  $V_g = 1$  V. The channel length ( $L_{\text{ch}}$ ) is  $L_{\text{ch}} \sim 2.8 \mu\text{m}$ , around twice of  $L_{\text{ch}}$  of  $G_{6-1, 3-2}$  (shown in the main text). At large negative B field, we observe G peaks at  $\Phi = \text{even multiples of } \Phi_0/2$  ( $h/2e$ , 0-ABO) with period  $\sim 0.5$  T (consistent with the period measured for shorter channel length shown in main text), while at lower fields we observe coexisting/competing 0-ABO and  $\pi$ -ABO. The  $L_\phi$  extracted from the temperature dependence

of the amplitude of ABO for a shorter channel length (Fig. 4 and Fig. S3) at  $T = 0.25\text{K}$  is  $\sim 3\mu\text{m}$ , similar to the channel length here, where it may be easier to lose coherence, explaining the less developed ABO in Figure S4 compared to Fig. 2.



Supplemental Figure S4. **Aharonov-Bohm oscillations in a longer TINR channel with length  $L = 2.8\mu\text{m}$ .** Magneto conductance  $G$  ( $G_{6-1, 4-3}(B)$ , in units of  $e^2/h$ ) vs. B-field (parallel to NR axis) at  $V_g = 1\text{V}$  at  $T = 0.25\text{K}$ . The scanning electron microscope (SEM) image of the device (with labeled electrodes) is depicted in the main text Figure 2a. The vertical dashed (dotted) lines represent integer units of flux quanta  $\Phi_0$  or even multiples of  $\Phi_0/2$  (half integer units of  $\Phi_0$ , or odd multiples of  $\Phi_0/2$ ).

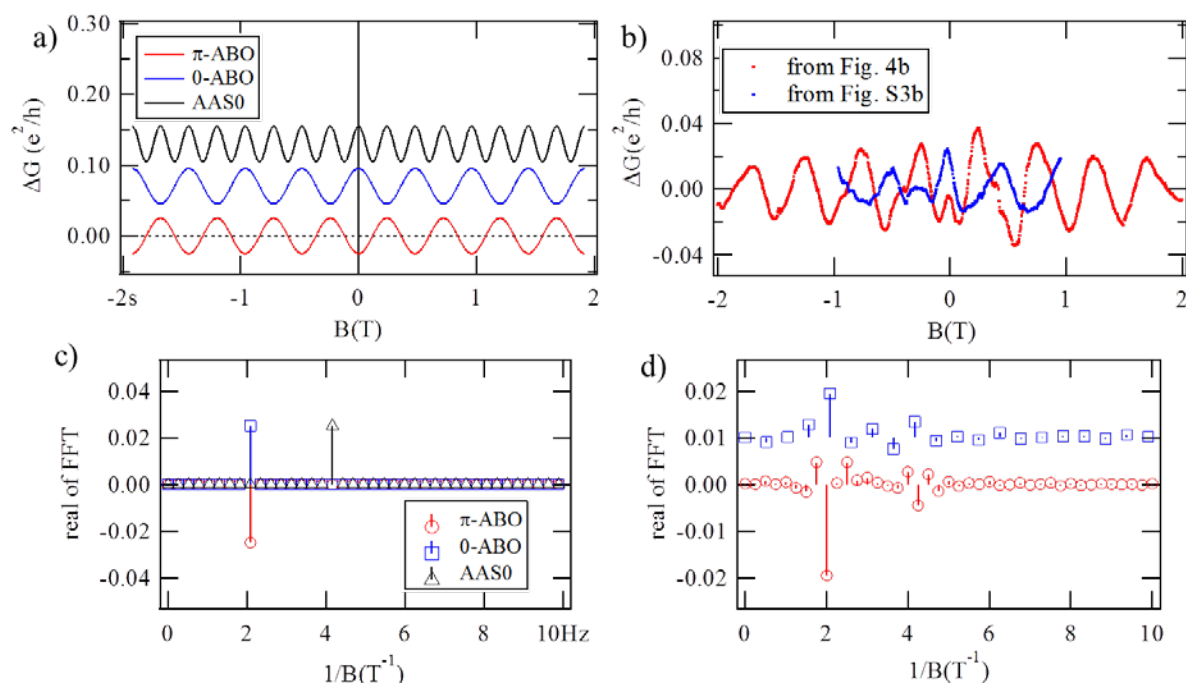




Supplemental Figure S5. **Gate-tunable, non-local magnetoconductance oscillations.** Nonlocal  $\Delta G$  ( $G_{2-1,3-4}$  with polynomial background subtracted) vs.  $B$  (top axis, corresponding magnetic flux  $\Phi$  in bottom axis) at different  $V_g$ 's (corresponding to the horizontal cuts in Fig. 5b) at  $T = 0.25$  K. The vertical dashed (dotted) lines represent integer units of flux quanta  $\Phi_0$  or even multiples of  $\Phi_0/2$  (half integer units of  $\Phi_0$ , or odd multiples of  $\Phi_0/2$ ). Curves are vertically offset for clarity.

**FFT of  $\pi$ -ABO vs. 0-ABO and AAS:** Fig. S6a shows an analytically-simulated AAS, 0- and  $\pi$ - ABO with their respective real part of the Fast Fourier transform (FFT) as shown in Fig. S6c. While the 0-ABO and  $\pi$ - ABO have the same amplitude of FFT (with a  $h/e$  peak), they can be distinguished from each other by their real parts of FFT (where the  $h/e$  peak in the real part of FFT have *opposite signs* between 0-ABO and  $\pi$ - ABO). Fig. S6b shows the measured  $\Delta G$  vs.  $B$  (also shown in Fig. 4b and Fig. S3b) for  $T = 0.25$  K and  $V_g = -0.78$  V (mostly 0-ABO) and  $T = 0.4$  K and  $V_g = -1.2$  V (mostly  $\pi$ -ABO) respectively. The real parts of the FFT of  $\Delta G$  vs.  $B$  are depicted in Fig. S6d. Comparing the FFT for the experimental data and

the analytical functions, we see that the real component of the FFT is negative for the data from Fig. 4b and agrees with  $\pi$ -ABO while the real component of the FFT for the data from Fig. S3b is positive, corresponding to 0-ABO, both with  $f \sim 2T^{-1}$  (h/e peak). While there is a small contribution of the AAS oscillations as shown for the peaks around  $4T^{-1}$  (h/2e peak), the  $\pi$ -phase shifted ABO ( $\Phi_0$ -periodic) cannot be produced by adding a  $\Phi_0/2$ -periodic AAS to a  $\Phi_0$ -periodic 0-ABO.



Supplemental Figure S6. (a)  $\Delta G$  vs.  $B$  for analytically-simulated  $\pi$ -ABO, 0-ABO and AAS oscillations. For  $\pi$ -ABO we use:  $1/36\cos(2\pi fB + \pi)$ , for 0-ABO we use:  $1/36\cos(2\pi fB)$  and for AAS we use:  $1/36\cos(4\pi fB)$ , with  $f = 2.0833T^{-1}$ . All the curves have been offset for clarity except the  $\pi$ -ABO representation. (b) Experimentally measured examples of dominantly  $\pi$ -ABO (same as shown in Figure 4b) and 0-ABO (same as shown in Fig. S3b) in  $\Delta G$  vs.  $B$  for  $T = 0.25$  K and  $V_g = -0.78$  V and  $T = 0.4$  K and  $V_g = -1.2$  V respectively. (c) Real parts of the Fast Fourier transform (FFT) of the simulated oscillations in (a). (d) Real parts of the Fast Fourier transform of the experimental  $\Delta G$  vs.  $B$  in (b). The analysis shows the data in (b) is predominantly  $\pi$ -ABO for the data taken from Fig. 4b and predominantly 0-ABO for the Fig. S3b (with a possible small contribution of AAS). The real component of the FFT for the data from Fig. S3b is shifted by 0.01 for clarity.